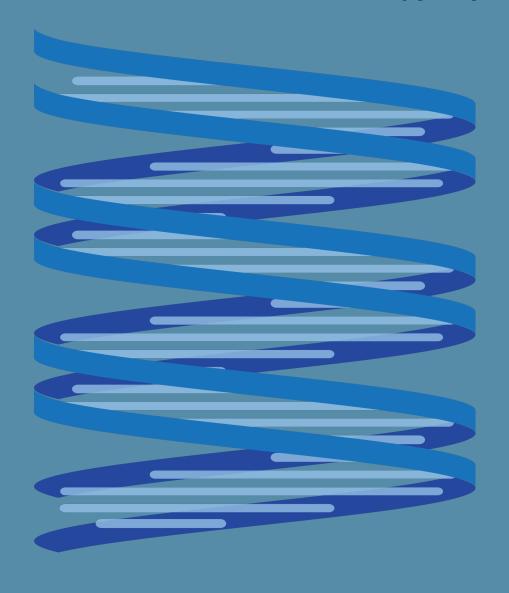
JENETICS

LIBRARY USER'S MANUAL



Franz Wilhelmstötter franz.wilhelmstoetter@gmx.at

http://jenetics.io

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Abstract

Jenetics is an Genetic Algorithm and Evolutionary Algorithm library, respectively, written in modern day Java. It is designed with a clear separation of the several algorithm concepts, e. g. Gene, Chromosome, Genotype, Phenotype, Population and fitness Function. Jenetics allows you to minimize or maximize the given fitness function without tweaking it. In contrast to other GA implementations, the library uses the concept of an evolution <code>stream</code> (EvolutionStream) for executing the evolution steps. Since the EvolutionStream implements the Java Stream interface, it works smoothly with the rest of the Java Stream API. This manual describes the concepts implemented in the <code>Jenetics</code> project and gives examples and best practice tips.

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1 Introduction

Jenetics is a library, written in Java¹, which provides an genetic algorithm (GA) implementation. It has no runtime dependencies to other libraries, except the Java 8 runtime. Since the library is available on maven central repository², it can be easily integrated into existing projects. The very clear structuring of the different parts of the GA allows an easy adaption for different problem domains.

This manual is not an introduction or a tutorial for genetic and/or evolutionary algorithms in general. It is assumed that the reader has a knowledge about the structure and the functionality of genetic algorithms. Good introductions to GAs can be found in[11], [7], [10], [6] or [14].

To give a first impression of the library usage, lets start with a simple $\operatorname{``Hello}$ World $\operatorname{``program}$. This first example implements the well known bit-counting problem.

```
import org.jenetics.BitChromosome;
  import org.jenetics.BitGene;
   import org.jenetics.Genotype;
  import org.jenetics.engine.Engine;
  import org.jenetics.engine.EvolutionResult;
  import org.jenetics.util.Factory;
   public final class HelloWorld {
       // 2.) Definition of the fitness function.
9
       private static Integer eval(final Genotype<BitGene> gt) {
10
11
           return ((BitChromosome) gt.getChromosome()).bitCount();
12
13
       public static void main(final String[] args) {
14
           // 1.) Define the genotype (factory) suitable
15
                  for the problem.
16
           final Factory < Genotype < Bit Gene >> gtf =
17
18
               Genotype. of (BitChromosome. of (10, 0.5));
19
           // 3.) Create the execution environment.
20
           final Engine < BitGene, Integer > engine = Engine
21
               .builder (HelloWorld::eval, gtf)
22
                .build();
23
           // 4.) Start the execution (evolution) and
25
26
                  collect the result.
           final Genotype < BitGene > result = engine.stream()
27
               .limit(100)
28
               . collect (EvolutionResult . toBestGenotype());
29
30
           System.out.println("Hello World:\n\t" + result);
31
32
33
```

Listing 1: »Hello World« GA

¹The library is build with and depends on Java SE 8: http://www.oracle.com/technetwork/java/javase/downloads/index.html

 $^{^2} If$ you are using Gradle, you can use the following dependency string: <code>>>org.bitbucket.fwilhelm:org.jenetics:3.5.0</code>«.

In contrast to other GA implementations, **Jenetics** uses the concept of an evolution *stream* (EvolutionStream) for executing the evolution steps. Since the EvolutionStream implements the Java Stream interface, it works smoothly with the rest of the Java Stream API. Now let's have a closer look at listing 1 on the preceding page and discuss this simple program step by step:

- 1. The probably most challenging part, when setting up a new evolution Engine, is to transform the problem domain into an appropriate Genotype (factory) representation.³ In our example we want to count the number of *ones* of a BitChromosome. Since we are counting only the ones of one chromosome, we are adding only one BitChromosome to our Genotype. In general, the Genotype can be created with 1 to n chromosomes. For detailed description of the genotype's structure have a look at section 3.1.3 on page 6.
- 2. Once this is done, the fitness function, which should be maximized, can be defined. Utilizing the new language features introduced in Java 8, we simply write a private static method, which takes the genotype we defined and calculate it's fitness value. If we want to use the optimized bit-counting method, bitCount(), we have to cast the Chromosome
 BitGene> class to the actual used BitChromosome class. Since we know for sure that we created the Genotype with a BitChromosome, this can be done safely. A reference to the eval method is then used as fitness function and passed to the Engine.build method.
- 3. In the third step we are creating the *evolution* Engine, which is responsible for changing, respectively evolving, a given population. The Engine is highly configurable and takes parameters for controlling the evolutionary and the computational environment. For changing the evolutionary behavior, you can set different alterers and selectors (see section 3.2 on page 8). By changing the used Executor service, you control the number of threads, the Engine is allowed to use. An new Engine instance can only be created via its builder, which is created by calling the Engine.builder method.
- 4. In the last step, we can create a new EvolutionStream from our Engine. The EvolutionStream is the model (or view) of the evolutionary process. It serves as a »process handle« and also allows you, among other things, to control the termination of the evolution. In our example, we simply truncate the stream after 100 generations. If you don't limit the stream, the EvolutionStream will not terminate and run forever. The final result, the best Genotype in our example, is then collected with one of the predefined collectors of the EvolutionResult class.

As the example shows, **Jenetics** makes heavy use of the Stream and Collector classes in Java 8. Also the newly introduced lambda expressions and the functional interfaces (SAM types) play an important roll in the library design.

There are many other GA implementations out there and they may slightly differ in the order of the single execution steps. **Jenetics** uses an classical approach. Listing 2 on the following page shows the (imperative) pseudo-code of the **Jenetics** genetic algorithm steps.

 $^{^3{\}rm Section}$ 6.1 on page 35 describes some common problem encodings.

```
 \begin{array}{c|c} P_0 \leftarrow P_{initial} \\ F(P_0) \\ \hline \\ \text{while } ! finished \ \text{do} \\ \hline \\ 4 & g \leftarrow g+1 \\ \hline \\ 5 & S_g \leftarrow select_S(P_{g-1}) \\ \hline \\ 6 & O_g \leftarrow select_O(P_{g-1}) \\ \hline \\ 7 & O_g \leftarrow alter(O_g) \\ \hline \\ 8 & P_g \leftarrow filter[g_i \geq g_{max}](S_g) + filter[g_i \geq g_{max}](O_g) \\ \hline \\ 9 & F(P_g) \\ \hline \end{array}
```

Listing 2: Genetic algorithm

Line (1) creates the initial population and line (2) calculates the fitness value of the individuals. The initial population is created implicitly before the first evolution step is performed. Line (4) increases the generation number and line (5) and (6) selects the survivor and the offspring population. The offspring/survivor fraction is determined by the offspringFraction property of the Engine.Builder. The selected offspring are altered in line (7). The next line combines the survivor population and the altered offspring population—after removing the *died* individuals—to the new population. The steps from line (4) to (9) are repeated until a given termination criterion is fulfilled.

2 Architecture

The basic metaphor of the **Jenetics** library is the *Evolution Stream*, implemented via the Java 8 Stream API. Therefore it is no longer necessary (and advised) to perform the evolution steps in an *imperative* way. An evolution stream is powered by—and bound to—an *Evolution Engine*, which performs the needed *evolution* steps for one generation; the steps are described in the body of the while-loop of listing 2. Once the evolution engine is created, it can be used by multiple evolution streams, which can be safely used in different execution threads. This is possible, because the evolution **Engine** doesn't have any mutable global state. It is practically a stateless function, f_E : $P \rightarrow P$, which maps a start population, P, to an evolved result population. The **Engine** function, f_E , is, of course, *non*-deterministic. Calling it twice with the same start population will lead to different result populations.



Figure 2.1: Evolution engine model

Figure 2.1 illustrates the main evolution engine classes, together with its dependencies. Since the Engine class itself is immutable, and can't be changed after creation, it is build/configured via a builder. After the Engine has been created, it can be used to create an arbitrary number of EvolutionStreams. The EvolutionStream is used to control the evolutionary process and collect the final result. This is done in the same way as for the normal java.util.-stream.Stream classes. With the additional limit(Predicate) method, it

is possible to truncate the EvolutionStream if some termination criteria is fulfilled.

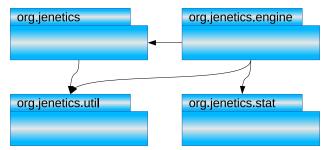


Figure 2.2: Package structure

Diagram 2.2 shows the package structure of the library which consists of the following packages:

- org.jenetics This is the base package of the Jenetics library and contains all domain classes, like Gene, Chromosome or Genotype. Most of this types are immutable data classes and doesn't implement any behavior. It also contains the Selector and Alterer interfaces and its implementations. The classes in this package are (almost) sufficient to implement an own GA.
- org.jenetics.engine This package contains the actual GA implementation classes, e. g. Engine, EvolutionStream or EvolutionResult. They mainly operate on the domain classes of the org.jenetics package.
- org.jenetics.stat This package contains additional statistics classes which are not available in the Java core library. Java only includes classes for calculating the sum and the average of a given numeric stream (e. g. Double-SummaryStatistics). With the additions in this package it is also possible to calculate the variance, skewness and kurtosis—using the Double-MomentStatistics class. The EvolutionStatistics object, which can be calculated for every generation, relies on the classes of this package.
- org.jenetics.util This package contains the collection classes (Seq, ISeq and MSeq) which are used in the public interfaces of the Chromosome and Genotype. It also contains the RandomRegistry class, which implements the global PRNG lookup, as well as helper IO classes for serializing Genotypes and whole Populations.

3 Base classes

This chapter describes the main classes which are needed to setup and run an genetic algorithm with the **Jenetics**⁴ library. They can roughly divided into three types:

⁴The documentation of the whole API is part of the download package or can be viewed online: http://jenetics.io/javadoc/org.jenetics/3.5/index.html.

Domain classes This classes form the domain model of the evolutionary algorithm and contain the structural classes like Gene and Chromosome. They are located in the org.jenetics package.

Operation classes This classes operates on the domain classes and includes the Alterer and Selector classes. They are also located in the org-.jenetics package.

Engine classes This classes implements the actual evolutionary algorithm and reside solely in the org.jenetics.engine package.

3.1 Domain classes

Most of the domain classes are pure data classes and can be treated as *value* objects⁵. All Gene and Chromosome implementations are immutable as well as the Genotype and Phenotype class. The only exception is the Population class, where it is possible to add and/or remove elements after it's creation.

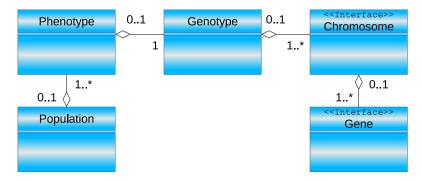


Figure 3.1: Domain model

Figure 3.1 shows the class diagram of the domain classes. All domain classes are located in the org.jenetics package. The Gene is the base of the class structure. Genes are aggregated in Chromosomes. One to n Chromosomes are aggregated in Genotypes. A Genotype and a fitness Function form the Phenotype, which are collected into a Population.

3.1.1 Gene

Genes are the basic building blocks of the **Jenetics** library. They contain the actual information of the encoded solution, the allele. Some of the implementations also contains domain information of the *wrapped* allele. This is the case for all BoundedGene, which contain the allowed minimum and maximum values. All Gene implementations are final and immutable. In fact, they are all value-based classes and fulfill the properties which are described in the Java 8 API documentation[9].⁶

Beside the container functionality for the allele, every **Gene** is its own factory and is able to create new, random instances of the same type and with the same

 $^{^5 {\}tt https://en.wikipedia.org/wiki/Value_object}$

⁶It is also worth reading the blog entry from Stephen Colebourne: http://blog.joda.org/2014/03/valjos-value-java-objects.html

constraints. The factory methods are used by the Alterers for creating new Genes from the existing one and play a crucial role by the exploration of the problem space.

```
public interface Gene<A, G extends Gene<A, G>
extends Factory<G>, Verifiable

{
   public A getAllele();
   public G newInstance();
   public G newInstance(A allele);
   public boolean isValid();
}
```

Listing 3: Gene interface

Listing 3 shows the most important methods of the Gene interface. The isValid method, introduced by the Verifiable interface, allows the gene to mark itself as invalid. All invalid genes are replaced with new ones during the evolution phase.

The available **Gene** implementations in the **Jenetics** library should cover a wide range of problem encodings. Refer to chapter 5.1 on page 30 for how to implement your own **Gene** types.

3.1.2 Chromosome

A Chromosome is a collection of Genes which contains at least one Gene. This allows to encode problems which requires more than one Gene. Like the Gene interface, the Chromosome is also it's own factory and allows to create a new Chromosome from a given Gene sequence.

```
public interface Chromosome G extends Gene ??, G>>
extends Factory Chromosome G>>, Iterable G>, Verifiable

public Chromosome D> newInstance (ISeq Denes);

public G getGene (int index);

public ISeq Denes Denes
```

Listing 4: Chromosome interface

Listing 4 shows the main methods of the Chromosome interface. This are the methods for accessing single Genes by index and as an ISeq respectively, and the factory method for creating a new Chromosome from a given sequence of Genes. The factory method is used by the Alterer classes which were able to create altered Chromosome from a (changed) Gene sequence.

3.1.3 Genotype

The central class, the evolution Engine is working with, is the Genotype. It is the *structural* and immutable representative of an individual and consists of one to *n* Chromosomes. All Chromosomes must be parameterized with the same Gene type, but it is allowed to have different lengths and constraints.

Figure 3.2 on the following page shows the Genotype structure. A Genotype consists of N_G Chromosomes and a Chromosome consists of $N_{C[i]}$ Genes (depending on the Chromosome). The overall number of Genes of a Genotype is

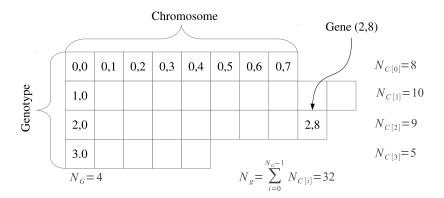


Figure 3.2: Genotype structure

given by the sum of the Chromosome's Genes, which can be accessed via the Genotype.getNumberOfGenes() method:

$$N_g = \sum_{i=0}^{N_G - 1} N_{C[i]} \tag{3.1}$$

As already mentioned, the Chromosomes of a Genotype doesn't have to have necessarily the same size. It is only required that all genes are from the same type and the Genes within a Chromosome have the same constraints; e. g. the same min- and max values for numerical Genes.

```
Genotype<DoubleGene> genotype = Genotype.of(
DoubleChromosome.of(0.0, 1.0, 8),

DoubleChromosome.of(1.0, 2.0, 10),

DoubleChromosome.of(0.0, 10.0, 9),

DoubleChromosome.of(0.1, 0.9, 5)

OutlieChromosome.of(0.1, 0.9, 5)
```

The code snippet in the listing above creates a **Genotype** with the same structure as shown in figure 3.2. In this example the **DoubleGene** has been chosen as **Gene** type.

3.1.4 Phenotype

The Phenotype is the *actual* representative of an individual and consists of the Genotype and the fitness Function, which is used to (lazily) calculate the Genotype's fitness value.⁷ It is *only* a container which forms the *environment* of the Genotype and doesn't change the structure. Like the Genotype, the Phenotype is immutable and can't be changed after creation.

```
public final class Phenotype<
G extends Gene<?, G>,
C extends Comparable<? super C>

implements Comparable<Phenotype<G, C>>

{
```

⁷Since the fitness Function is shared by all Phenotypes, calls to the fitness Function must be idempotent. See section 3.3.1 on page 16.

```
public C getFitness();
public Genotype (>> getGenotype();
public long getAge(long currentGeneration);
public void evaluate();
}
```

Listing 5: Phenotype class

Listing 5 on the previous page shows the main methods of the Phenotype. The fitness property will return the actual fitness value of the Genotype, which can be fetched with the getGenotype method. To make the runtime behavior predictable, the fitness value is evaluated lazily. Either by querying the fitness property or through the call of the evaluate method. The evolution Engine is calling the evaluate method in a separate step and makes the fitness evaluation time available through the EvolutionDurations class. Additionally to the fitness value, the Phenotype contains the generation when it was created. This allows to calculate the current age and the removal of overaged individuals from the Population.

3.1.5 Population

The end of the class hierarchy of the domain model is the Population. It is a collection of individuals and forms the start and the end of an evolution step.

```
public final class Population <
G extends Gene < ?, G >,
C extends Comparable < ? super C >

implements List < Phenotype < G, C >>

public Phenotype < G, C > get (int index);
public void add (Phenotype < G, C > phenotype);
public void sortWith (Comparator < ? super C > comparator);
}
```

Listing 6: Population class

Listing 6 gives on overview of the most important methods of the Population class. In addition to the List methods, it provides a method for sorting the Phenotypes. Some Selector implementations require a sorted list of individuals according its fitness value. Calling population.sortWith(optimize.descending()) will sort the Population, so that the first element will be the individual with the best fitness.

3.2 Operation classes

Genetic operators are used for creating *genetic* diversity (Alterer) and selecting potentially useful solutions for recombination (Selector). This section gives an overview about the genetic operators available in the **Jenetics** library. It also contains some *theoretical* information, which should help you to choose the right combination of operators and parameters, for the problem to be solved.

3.2.1 Selector

Selectors are responsible for selecting a given number of individuals from the population. The selectors are used to divide the population into *survivors* and

offspring. The selectors for offspring and for the survivors can be chosen independently.

The selection process of the **Jenetics** library acts on Phenotypes and indirectly, via the fitness function, on Genotypes. Direct Gene- or Population selection is not supported by the library.

```
Engine < Double Gene, Double > engine = Engine.builder (...)

offspring Fraction (0.7)

survivors Selector (new Roulette Wheel Selector <>())

offspring Selector (new Tournament Selector <>())

build ();
```

The offspringFraction, $f_O \in [0,1]$, determines the number of selected offspring

$$N_{O_q} = ||O_g|| = \text{rint}(||P_g|| \cdot f_O)$$
 (3.2)

and the number of selected survivors

$$N_{S_a} = ||S_a|| = ||P_a|| - ||O_a||. (3.3)$$

The **Jenetics** library contains the following selector implementations:

- TournamentSelector
- TruncationSelector
- MonteCarloSelector
- ProbabilitySelector
- RouletteWheelSelector
- LinearRankSelector
- ExponentialRankSelector
- BoltzmannSelector
- StochasticUniversalSelector

Beside the well known standard selector implementation the Probability-Selector is the base of a set of fitness proportional selectors.

Tournament selector In tournament selection the best individual from a random sample of s individuals is chosen from the population Pg. The samples are drawn with replacement. An individual will win a tournament only if the fitness is greater than the fitness of the other s-1 competitors. Note that the worst individual never survives, and the best individual wins in all the tournaments it participates. The selection pressure can be varied by changing the tournament sizes. For large values of s, weak individuals have less chance of being selected.

Truncation selector In truncation selection individuals are sorted according to their fitness. (This is one of the selectors, which relies on the sortWith method of the Population class.) Only the n best individuals are selected. The truncation selection is a very basic selection algorithm. It has it's strength in fast selecting individuals in large populations, but is not very often used in practice.

Monte Carlo selector The Monte Carlo selects the individuals from a given population randomly. This selector can be used to measure the performance of a other selectors. In general, the performance of a selector should be better than the selection performance of the Monte Carlo selector.

Probability selectors Probability selectors are a variation of *fitness proportional* selectors and selects individuals from a given population based on it's selection probability P(i). Fitness proportional selection works as shown in

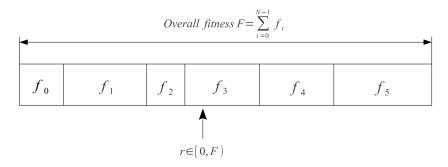


Figure 3.3: Fitness proportional selection

figure 3.3. An uniform distributed random number $r \in [0, F)$ specifies which individual is selected, by argument minimization:

$$i \leftarrow \underset{n \in [0,N)}{\operatorname{argmin}} \left\{ r < \sum_{i=0}^{n} f_i \right\},$$
 (3.4)

where N is the number of individuals and f_i the fitness value of the i^{th} individual. The probability selector works the same way, only the fitness value f_i is replaced by the individual's selection probability P(i). It is not necessary to sort the population. The selection probability of an individual i follows a binomial distribution

$$P(i,k) = \binom{n}{k} P(i)^{k} (1 - P(i))^{n-k}$$
(3.5)

where n is the overall number of selected individuals and k the number of individuali in the set of selected individuals. The runtime complexity of the implemented probability selectors is $O(n + \log(n))$ instead of $O(n^2)$ as for the naive approach: A binary (index) search is performed on the summed probability array.

Roulette-wheel selector The roulette-wheel selector is also known as fitness proportional selector. In the **Jenetics** library it is implemented as *probability* selector. The fitness value f_i is used to calculate the selection probability of individual i.

$$P(i) = \frac{f_i}{\sum_{j=1}^{N} f_j}$$
 (3.6)

Selecting n individuals from a given population is equivalent to play n times on the roulette-wheel. The population don't have to be sorted before selecting the individuals. Roulette-wheel selection is one of the traditional selection strategies.

Linear-rank selector In linear-ranking selection the individuals are sorted according to their fitness values. The rank N is assigned to the best individual and the rank 1 to the worst individual. The selection probability P(i) of individual i is linearly assigned to the individuals according to their rank.

$$P(i) = \frac{1}{N} \left(n^{-} + \left(n^{+} - n^{-} \right) \frac{i-1}{N-1} \right). \tag{3.7}$$

Here $\frac{n^-}{N}$ is the probability of the worst individual to be selected and $\frac{n^+}{N}$ the probability of the best individual to be selected. As the population size is held constant, the condition $n^+ = 2 - n^-$ and $n^- \ge 0$ must be fulfilled. Note that all individuals get a different rank, respectively a different selection probability, even if they have the same fitness value.[4]

Exponential-rank selector An alternative to the *weak* linear-rank selector is to assign survival probabilities to the sorted individuals using an exponential function:

$$P(i) = (c-1)\frac{c^{i-1}}{c^N - 1},$$
(3.8)

where c must within the range [0...1). A small value of c increases the probability of the best individual to be selected. If c is set to zero, the selection probability of the best individual is set to one. The selection probability of all other individuals is zero. A value near one equalizes the selection probabilities. This selector sorts the population in descending order before calculating the selection probabilities.

Boltzmann selector The selection probability of the Boltzmann selector is defined as

$$P(i) = \frac{e^{b \cdot f_i}}{Z},\tag{3.9}$$

where b is a parameter which controls the selection intensity and Z is defined as

$$Z = \sum_{i=1}^{n} e^{f_i}.$$
 (3.10)

Positive values of b increases the selection probability of individuals with high fitness values and negative values of b decreases it. If b is zero, the selection probability of all individuals is set to $\frac{1}{N}$.

Stochastic-universal selector Stochastic-universal selection [1] (SUS) is a method for selecting individuals according to some given probability in a way that minimizes the chance of fluctuations. It can be viewed as a type of roulette game where we now have p equally spaced points which we spin. SUS uses a single random value for selecting individuals by choosing them at equally spaced

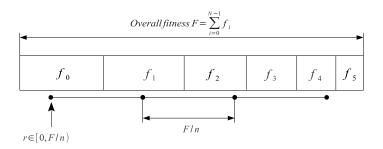


Figure 3.4: Stochastic-universal selection

intervals. The selection method was introduced by James Baker. [2] Figure 3.4 shows the function of the stochastic-universal selection, where n is the number of individuals to select. Stochastic universal sampling ensures a selection of offspring, which is closer to what is deserved than roulette wheel selection.[11]

3.2.2 Alterer

The problem encoding (representation) determines the bounds of the search space, but the Alterers determine how the space can be traversed: Alterers are responsible for the genetic diversity of the EvolutionStream. The two Alterer types used in **Jenetics** are

- 1. mutation and
- 2. recombination (e. g. crossover).

First we will have a look at the mutation — There are two distinct roles *mutation* plays in the evolution process:

- 1. **Exploring the search space**: By making small moves, mutation allows a population to explore the search space. This exploration is often slow compared to crossover, but in problems where crossover is disruptive this can be an important way to explore the landscape.
- 2. **Maintaining diversity**: Mutation prevents a population from correlating. Even if most of the search is being performed by crossover, mutation can be vital to provide the diversity which crossover needs.

The mutation probability, P(m), is the parameter that must be optimized. The optimal value of the mutation rate depends on the role mutation plays. If mutation is the only source of exploration (if there is no crossover), the mutation rate should be set to a value that ensures that a reasonable neighborhood of solutions is explored.

The mutation probability, P(m), is defined as the probability that a specific gene, over the whole population, is mutated. That means, the (average) number of genes mutated by a mutator is

$$\hat{\mu} = N_P \cdot N_q \cdot P(m) \tag{3.11}$$

where N_g is the number of available genes of a genotype and N_P the population size (revere to equation 3.1 on page 7).

Mutator The mutator has to deal with the problem, that the genes are arranged in a 3D structure (see chapter 3.1.3). The mutator selects the gene which will be mutated in three steps:

- 1. Select a genotype G[i] from the population with probability $P_G(m)$,
- 2. select a chromosome C[j] from the selected genotype G[i] with probability $P_C(m)$ and
- 3. select a gene g[k] from the selected chromosome C[j] with probability $P_q(m)$.

The needed sub-selection probabilities are set to

$$P_G(m) = P_C(m) = P_q(m) = \sqrt[3]{P(m)}.$$
 (3.12)

Gaussian mutator The Gaussian mutator performs the mutation of number genes. This mutator picks a new value based on a Gaussian distribution around the current value of the gene. The variance of the new value (before clipping to the allowed gene range) will be

$$\hat{\sigma}^2 = \left(\frac{g_{max} - g_{min}}{4}\right)^2 \tag{3.13}$$

where g_{min} and g_{max} are the valid minimum and maximum values of the number gene. The new value will be cropped to the gene's boundaries.

Swap mutator The swap mutator changes the order of genes in a chromosome, with the hope of bringing related genes closer together, thereby facilitating the production of building blocks. This mutation operator can also be used for combinatorial problems, where no duplicated genes within a chromosome are allowed, e. g. for the TSP.

The second alterer type is the recombination — An enhanced genetic algorithm (EGA) combine elements of existing solutions in order to create a new solution, with some of the properties of each parents. Recombination creates a new chromosome by combining parts of two (or more) parent chromosomes. This combination of chromosomes can be made by selecting one or more crossover points, splitting these chromosomes on the selected points, and merge those portions of different chromosomes to form new ones.

```
void recombine (final Population <G, C> pop) {
2
       // Select the Genotypes for crossover
       final Random random = RandomRegistry.getRandom();
3
4
5
       final int i1 = random.nextInt(pop.length());
       final int i2 = random.nextInt(pop.length());
6
       final Phenotype<G, C> pt1 = pop.get(i1);
       final Phenotype<G, C> pt2 = pop.get(2);
7
       final Genotype <G> gt1 = pt1.getGenotype();
9
       final Genotype <G> gt2 = pt2.getGenotype();
10
       //Choosing the Chromosome for crossover.
11
       final int chIndex = random.nextInt(gt1.length());
12
```

```
final MSeq<Chromosome<G>> c1 = gt1.toSeq().copy();
13
         final MSeq<Chromosome<G>> c2 = gt2.toSeq().copy();
14
         \label{eq:copy} \textbf{final MSeq} <\!\! G\!\! > \ \texttt{genes1} \ = \ \texttt{c1.get(chIndex).toSeq().copy()};
15
         final MSeq < G > genes2 = c2.get(chIndex).toSeq().copy();
16
17
         // Perform the crossover.
18
         crossover (genes1, genes2);
19
        \mathtt{c1.set}\left(\mathtt{chIndex}\,,\ \mathtt{c1.get}\left(\mathtt{chIndex}\right).\mathtt{newInstance}\left(\mathtt{genes1.toISeq}\left(\right)\right)\right);
20
        c2.set(chIndex, c2.get(chIndex).newInstance(genes2.toISeq()));
21
22
         //Creating two new Phenotypes and replace the old one
23
24
         pop.set(i1, pt1.newInstance(gt1.newInstance(c1.toISeq())));
        pop.set(i2, pt2.newInstance(gt1.newInstance(c2.toISeq())));
25
26
```

Listing 7: Chromosome selection for recombination

Listing 7 on the preceding page shows how two chromosomes are selected for *recombination*. It is done this way for preserving the given *constraints* and to avoid the creation of invalid individuals.

Because of the possible different Chromosome length and/or Chromosome constraints within a Genotype, only Chromosomes with the same Genotype position are recombined (see listing 7 on the previous page).

The recombination probability, P(r), determines the probability that a given individual (genotype) of a population is selected for recombination. The (mean) number of changed individuals depend on the concrete implementation and can be vary from $P(r) \cdot N_G$ to $P(r) \cdot N_G \cdot O_R$, where O_R is the order of the recombination, which is the number of individuals involved in the combine method.

Single-point crossover The single-point crossover changes two children chromosomes by taking two chromosomes and cutting them at some, randomly chosen, site. If we create a child and its complement we preserve the total number of genes in the population, preventing any genetic drift. Single-point crossover is the classic form of crossover. However, it produces very slow mixing compared with multi-point crossover or uniform crossover. For problems where the site position has some intrinsic meaning to the problem single-point crossover can lead to smaller disruption than multiple-point or uniform crossover.

Figure 3.5 shows how the SinglePointCrossover class is performing the crossover for different crossover points—in the given example for the chromosome indexes 0, 1, 3, 6 and 7.

Multi-point crossover If the MultiPointCrossover class is created with one crossover point, it behaves exactly like the single-point crossover. The following picture shows how the multi-point crossover works with two crossover points, defined at index 1 and 4.

Figure 3.7 you can see how the crossover works for an odd number of crossover points.

Partially-matched crossover The partially-matched crossover guarantees that all genes are found exactly once in each chromosome. No gene is dupli-

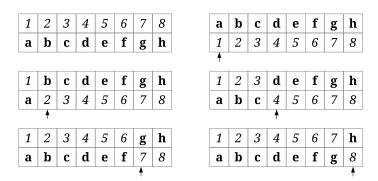


Figure 3.5: Single-point crossover

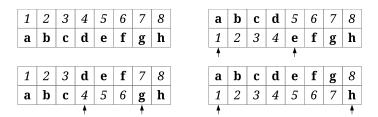


Figure 3.6: 2-point crossover

cated by this crossover strategy. The partially-matched crossover (PMX) can be applied usefully in the TSP or other permutation problem encodings. Permutation encoding is useful for all problems where the fitness only depends on the ordering of the genes within the chromosome. This is the case in many combinatorial optimization problems. Other crossover operators for combinatorial optimization are:

• order crossover

• edge recombination crossover

cycle crossover

• edge assembly crossover

The PMX is similar to the two-point crossover. A crossing region is chosen by selecting two crossing points (see figure 3.8 a)). After performing the crossover we–normally–got two invalid chromosomes (figure 3.8 b)). Chromosome 1 contains the value 6 twice and misses the value 3. On the other side chromosome 2 contains the value 3 twice and misses the value 6. We can observe that this crossover is equivalent to the exchange of the values $3\rightarrow 6$, $4\rightarrow 5$ and $5\rightarrow 4$. To repair the two chromosomes we have to apply this exchange outside the crossing region (figure 3.8 b)). At the end figure 3.8 c) shows the repaired chromosome.

3.3 Engine classes

The *executing* classes, which perform the actual evolution, are located in the org.jenetics.engine package. The *evolution stream* (EvolutionStream) is the base metaphor for performing an GA. On the EvolutionStream you can define the termination predicate and than collect the final EvolutionResult.

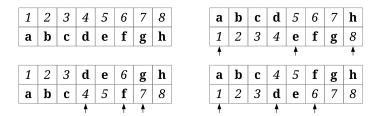


Figure 3.7: 3-point crossover

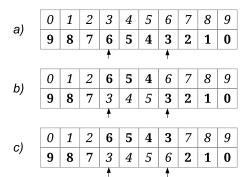


Figure 3.8: Partially-matched crossover

This decouples the static data structure from the executing evolution part. The EvolutionStream is also very flexible, when it comes to collecting the final result. The EvolutionResult class has several predefined collectors, but you are free to create your own one, which can be seamlessly *plugged* into the existing stream.

3.3.1 Fitness function

The fitness Function is also an important part when modeling an genetic algorithm. It takes a Genotype as argument and returns, at least, a Comparable object as result—the fitness value. This allows the evolution Engine, respectively the selection operators, to select the offspring- and survivor Population. Some selectors have stronger requirements to the fitness value than a Comparable, but this constraints is checked by the Java type system at compile time.

Since the fitness Function is shared by all Phenotypes, calls to the fitness Function has to be idempotent. A fitness Function is idempotent if, whenever it is applied twice to any Genotype, it returns the same fitness value as if it were applied once. In the simplest case, this is achieved by Functions which doesn't contain any global *mutable* state.

The following example shows the simplest possible fitness Function. This Function simply returns the allele of a 1x1 *float* Genotype.

```
public class Main {
static Double identity(final Genotype<DoubleGene> gt) {
```

```
return gt.getGene().getAllele();
       }
5
       public static void main(final String[] args) {
            // Create fitness function from method reference.
           Function < Genotype < Double Gene >, Double >> ff1 =
9
                Main::identity;
10
            // Create fitness function from lambda expression.
11
           Function < Genotype < Double Gene >, Double >> ff2 = gt ->
12
                gt.getGene().getAllele();
13
       }
14
15
```

The first type parameter of the Function defines the kind of Genotype from which the fitness value is calculated and the second type parameter determines the return type, which must be, at least, a Comparable type.

3.3.2 Fitness scaler

The fitness value, calculated by the fitness Function, is treated as the raw-fitness of an individual. The **Jenetics** library allows you to apply an additional scaling function on the raw-fitness to form the fitness value which is used by the selectors. This can be useful when using probability selectors (see chapter 3.2.1 on page 10), where the actual amount of the fitness value influences the selection probability. In such cases, the fitness scaler gives you additional flexibility when selecting offspring and survivors. In the default configuration the raw-fitness is equal to the actual fitness value, that means, the used fitness scaler is the identity function.

The given listing shows a fitness scaler which reduces the the raw-fitness to its square root. This gives weaker individuals a greater changes being selected and weakens the influence of *super*-individuals.

When using a fitness scaler you have to take care that your scaler doesn't destroy your fitness value. This can be the case when your fitness value is negative and your fitness scaler squares the value. Trying to find the minimum will not work in this configuration.

3.3.3 Engine

The evolution Engine controls how the evolution steps are executed. Once the Engine is created, via a Builder class, it can't be changed. It doesn't contain any mutable global state and can therefore safely used/called from different threads. This allows to create more than one EvolutionStreams from the Engine and execute them in parallel.

```
public final class Engine <
       G extends Gene <?, G>,
       C extends Comparable <? super C>
3
  >
4
5
6
7
       // The evolution function, performs one evolution step.
       EvolutionResult < G, C > evolve (
           Population < G, C> population ,
8
           long generation
9
10
11
       // Evolution stream for "normal" evolution execution.
12
13
       EvolutionStream <G,C> stream();
14
15
       // Evolution iterator for external evolution iteration.
       Iterator < EvolutionResult < G, C>> iterator();
16
17 }
```

Listing 8: Engine class

Listing 8 shows the main methods of the Engine class. It is used for performing the actual evolution of a give population. One evolution step is executed by calling the Engine.evolve method, which returns an EvolutionResult object. This object contains the evolved Population plus additional information like execution duration of the several evolution sub-steps and information about the killed and as invalid marked individuals. With the stream method you create a new EvolutionStream, which is used for controlling the evolution process—see section 3.3.4 on the following page. Alternatively it is possible to iterate through the evolution process in an imperative way (for whatever reasons this should be necessary). Just create an Iterator of EvolutionResult object by calling the iterator method.

As already shown in previous examples, the Engine can only be created via its Builder class. Only the fitness Function and the Chromosomes, which represents the problem encoding, must be specified for creating an Engine instance. For the rest of the parameters default values are specified. This are the Engine parameters which can configured:

alterers A list of Alterers which are applied to the offspring Population, in the defined order. The default value of this property is set to Single-PointCrossover<>(0.2) followed by Mutator<>(0.15).

clock The java.time.Clock used for calculating the execution durations. A
 Clock with nanosecond precision (System.nanoTime()) is used as default.

executor With this property it is possible to change the java.util.concurrent.Executor engine used for evaluating the evolution steps. This property can be used to define an application wide Executor or for controlling
the number of execution threads. The default value is set to ForkJoinPool.commonPool().

fitnessFunction This property defines the fitness Function used by the evolution Engine. (See section 3.3.1 on page 16.)

fitnessScaler This property defines the fitness scaler used by the evolution Engine. The default value is set to the identity function. (See section 3.3.2 on the preceding page.)

- genotypeFactory Defines the Genotype Factory used for creating new individuals. Since the Genotype is its own Factory, it is sufficient to create a Genotype, which then serves as template.
- genotypeValidator This property lets you *override* the default implementation of the Genotype.isValid method, which is useful if the Genotype validity not only depends on valid property of the elements it consists of.
- maximalPhenotypeAge Set the maximal allowed age of an individual (Phenotype). This prevents *super* individuals to live *forever*. The default value is set to 70.
- offspringFraction Through this property it is possible to define the fraction of offspring (and survivors) for evaluating the next generation. The fraction value must within the interval [0,1]. The default value is set to 0.6.
- offspringSelector This property defines the Selector used for selecting the offspring Population. The default values is set to TournamentSelector<>(3).
- optimize With this property it is possible to define whether the fitness Function should be maximized of minimized. By default, the fitness Function is maximized.
- phenotypeValidator This property lets you *override* the default implementation of the Phenotype.isValid method, which is useful if the Phenotype validity not only depends on valid property of the elements it consists of.
- populationSize Defines the number of individuals of a Population. The evolution Engine keeps the number of individuals constant. That means, the Population of the EvolutionResult always contains the number of entries defined by this property. The default value is set to 50.
- survivorsSelector This property defines the Selector used for selecting the survivors Population. The default values is set to TournamentSelect-or<>(3).
- individualCreationRetries The evolution Engine tries to create only valid individuals. If a newly created Genotype is not valid, the Engine creates another one, till the created Genotype is valid. This parameter sets the maximal number of retries before the Engine gives up and accept invalid individuals. The default value is set to 10.

3.3.4 EvolutionStream

The EvolutionStream controls the execution of the evolution process and can be seen as a kind of execution *handle*. This handle can be used to define the termination criteria and to *collect* the final evolution result. Since the EvolutionStream extends the Java Stream interface, it integrates smoothly with the rest of the Java Stream API.⁸

⁸It is recommended to make yourself familiar with the Java Stream API. A good introduction can be found here: http://winterbe.com/posts/2014/07/31/java8-stream-tutorial-examples/

```
public interface EvolutionStream<
G extends Gene<?, G>,
C extends Comparable<? super C>

extends Stream<EvolutionResult<G, C>

public EvolutionStream<G, C>
limit(Predicate<? super EvolutionResult<G, C>> proceed);
}
```

Listing 9: EvolutionStream class

Listing 9 shows the whole EvolutionStream interface. As it can be seen, it only adds one additional method. But this additional limit method allows to truncate the EvolutionStream based on a Predicate which takes an EvolutionResult. Once the Predicate returns false, the evolution process is stopped. Since the limit method returns an EvolutionStream, it is possible to define more than one Predicate, which both must be fulfilled to continue the evolution process.

```
Engine < Dobule Gene, Double > engine = ...

Evolution Stream < Double Gene, Double > stream = engine.stream()

limit (predicate1)

limit (predicate2)

limit (100);
```

The EvolutionStream, created in the example above, will be truncated if one of the two predicates is false or if the maximal allowed generations, of 100, is reached. An EvolutionStream is usually created via the Engine.stream() method. The *im*mutable and *stateless* nature of the evolution Engine allows to create more than one EvolutionStream with the same Engine instance.

In cases where you appreciate the usage of the EvolutionStream but need a different Engine implementation, you can use the EvolutionStream.of factory method for creating an new EvolutionStream.

```
static <G extends Gene<?, G>, C extends Comparable<? super C>>
EvolutionStream <G, C> of (
Supplier < EvolutionStart <G, C>> start ,
Function<? super EvolutionStart <G, C>>, EvolutionResult <G, C>> f

);
```

This factory method takes a start value, of type EvolutionStart, and an evolution Function. The evolution Function takes the start value and returns an EvolutionResult object. To make the runtime behavior more predictable, the start value is fetched/created lazily at the evolution start time.

```
final Supplier<EvolutionStart<DoubleGene, Double>> start = ...
final EvolutionStream<DoubleGene, Double> stream =
EvolutionStream.of(start, new MySpecialEngine());
```

3.3.5 EvolutionResult

The EvolutionResult collects the result data of an evolution step into an immutable *value* class. This class is the type of the stream elements of the EvolutionStream, as described in section 3.3.4 on the preceding page.

```
public final class EvolutionResult <
G extends Gene <?, G>,
C extends Comparable <? super C>
```

```
implements Comparable < Evolution Result < G, C>>

Population < G, C> getPopulation();
long getGeneration();
}
```

Listing 10: EvolutionResult class

Listing 3.3.5 on the previous page shows the two most important properties, the population and the generation the result belongs to. This are also the two properties needed for the next evolution step. The generation is, of course, incremented by one. To make collecting the EvolutionResult object easier, it also implements the Comparable interface. Two EvolutionResults are compared by its best Phenotype.

The EvolutionResult classes has three predefined factory methods, which will return Collectors usable for the EvolutionStream:

toBestEvolutionResult() Collects the best EvolutionResult of an Evolution— Stream according to the defined optimization strategy.

toBestPhenotype() This collector can be used if you are only interested in the best Phenotype.

toBestGenotype() Use this collector if you only need the best Genotype of the EvolutionStream.

The following code snippets shows how to use the different EvolutionStream collectors.

3.3.6 EvolutionStatistics

The EvolutionStatistics class allows you to gather additional statistical information from the EvolutionStream. This is especially useful during the development phase of the application, when you have to find the right parametrization of the evolution Engine. Besides other informations, the Evolution-Statistics contains (statistical) information about the fitness, invalid and killed Phenotypes and runtime information of the different evolution steps. Since the EvolutionStatistics class implements the Consumer<Evolution-Result<?, C>> interface, it can be easily plugged into the EvolutionStream, adding it with the peek method of the stream.

```
Engine < Double Gene, Double > engine = ...

Evolution Statistics <?, Double > statistics = Evolution Statistics .of Number();
```

```
4 | engine.stream()
5 | .limit(100)
6 | .peek(statistics)
7 | .collect(toBestGenotype());
```

Listing 11: EvolutionStatistics usage

Listing 11 on the preceding page shows how to add the the Evolution-Statistics to the EvolutionStream. Once the algorithm tuning is finished, it can be removed in the production environment.

There are two different specializations of the EvolutionStatistics object available. The first is the general one, which will be working for every kind of Genes and fitness types. It can be created via the EvolutionStatistics.-ofComparable() method. The second one collects additional statistical data for numeric fitness values. This can be created with the EvolutionStatistics.-ofNumber() method.

```
Time statistics
2
3
                  Selection: sum=0.046538278000 s; mean=0.003878189833 s
                   Altering: sum=0.086155457000 s; mean=0.007179621417
       Fitness calculation: sum=0.022901606000 s; mean=0.001908467167
         Overall execution: sum=0.147298067000 s; mean=0.012274838917 s
9
      Evolution statistics
10
                Generations: 12
11
                    Altered: sum=7,331; mean=610.916666667
12
13
                     \texttt{Killed: sum=0; mean=0.0000000000}
14
                   Invalids: sum = 0; mean = 0.000000000
15
      Population statistics
16
17
                        Age: max=11; mean=1.951000; var=5.545190
18
19
20
                           min
                                = 0.000000000000
                                 = 481.748227114537
21
                           max
                           mean = 384.430345078660
22
                                = 13006.132537301528
23
                           var
```

A typical output of an number EvolutionStatistics object will look like the example above.

4 Nuts and bolts

4.1 Concurrency

The **Jenetics** library parallelizes independent task whenever possible. Especially the evaluation of the fitness function is done concurrently. That means that the fitness function must be thread safe, because it is shared by all phenotypes of a population. The easiest way for achieving thread safety is to make the fitness function immutable and re-entrant. The used **Executor** can be defined when building the evolution **Engine** object.

```
import java.util.concurrent.Executor;
import java.util.concurrent.Executors;

public class Main {
    private static Double eval(final Genotype<DoubleGene> gt) {
        // calculate and return fitness
```

```
8
       public static void main(final String[] args) {
9
10
            // Creating an fixed size ExecutorService
            final ExecutorService executor = Executors
11
                . newFixedThreadPool(10)
12
13
            final Factory < Genotype < Double Gene >> gtf =
            final Engine < DoubleGene, Double > engine = Engine
14
                .builder (Main::eval, gtf)
15
                 // Using 10 threads for evolving.
16
                .executor(executor)
17
18
                .build()
19
       }
20
21
```

If no Executor is given, **Jenetics** uses a common ForkJoinPool⁹ for concurrency.

4.2 Randomness

In general, GAs heavily depends on *pseudo* random number generators (PRNG) for creating new individuals and for the selection- and mutation-algorithms. **Jenetics** uses the Java Random object, respectively sub-types from it, for generating random numbers. To make the random engine pluggable, the Random object is always fetched from the RandomRegistry. This makes it possible to change the implementation of the random engine without changing the client code. The central RandomRegistry also allows to easily change Random engine even for specific parts of the code.

The following example shows how to change and restore the Random object. When opening the with scope, changes to the RandomRegistry are only visible within this scope. Once the with scope is left, the original Random object is restored.

```
List < Genotype < Double Gene >>> genotypes =
Random Registry.with (new Random (123), r -> {
Genotype.of (Double Chromosome.of (0.0, 100.0, 10))

.instances()
.limit(100)
.collect (Collectors.toList())

});
```

With the previous listing, a random, but reproducible, list of genotypes is created. This might be useful while testing your application or when you want to evaluate the EvolutionStream several times with the same initial population.

```
Engine < Double Gene, Double > engine = ...;

// Create a new evolution stream with the given

// initial genotypes.

Phenotype < Double Gene, Double > best = engine.stream(genotypes)

limit(10)

collect (Evolution Result.to Best Phenotype());
```

The example above uses the generated genotypes for creating the Evolution-Stream. Each created stream uses the same starting population, but will, most

 $^{^9 \}texttt{https://docs.oracle.com/javase/8/docs/api/java/util/concurrent/ForkJoinPool.html}$

likely, create a different result. This is because the stream evaluation is still non-deterministic.

Setting the PRNG to a Random object with a defined seed has the effect, that every evolution *stream* will produce the same result—in an single threaded environment.

The parallel nature of the GA implementation requires the creation of streams $t_{i,j}$ of random numbers which are statistically independent, where the streams are numbered with $j=1,2,3,...,p,\ p$ denotes the number of processes. We expect statistical independence between the streams as well. The used PRNG should enable the GA to play fair, which means that the outcome of the GA is strictly independent from the underlying hardware and the number of parallel processes or threads. This is essential for reproducing results in parallel environments where the number of parallel tasks may vary from run to run.

The Fair Play property of a PRNG guarantees that the quality of the genetic algorithm (evolution stream) does not depend on the degree of parallelization.

When the Random engine is used in an multi-threaded environment, there must be a way to parallelize the sequential PRNG. Usually this is done by taking the elements of the sequence of pseudo-random numbers and distribute them among the threads. There are essentially four different parallelizations techniques used in practice: Random seeding, Parameterization, Block splitting and Leapfrogging.

Random seeding Every thread uses the same kind of PRNG but with a different seed. This is the default strategy used by the **Jenetics** library. The RandomRegistry is initialized with the ThreadLocalRandom class from the java.util.concurrent package. Random seeding works well for the most problems but without theoretical foundation. ¹⁰ If you assume that this strategy is responsible for some *non*-reproducible results, consider using the LCG64Shift-Random PRNG instead, which uses *block splitting* as parallelization strategy.

Parameterization All threads uses the same kind of PRNG but with different parameters. This requires the PRNG to be parameterizable, which is not the case for the Random object of the JDK. You can use the LCG64ShiftRandom class if you want to use this strategy. The theoretical foundation for these method is weak. In a massive parallel environment you will need a reliable set of parameters for every random stream, which are not trivial to find.

Block splitting With this method each thread will be assigned a non-over-lapping contiguous block of random numbers, which should be enough for the

 $^{^{10}\}mathrm{This}$ is also expressed by Donald Knuth's advice: »Random number generators should not be chosen at random.«

whole runtime of the process. If the number of threads is not known in advance, the length of each block should be chosen much larger then the maximal expected number of threads. This strategy is used when using the LCG64-ShiftRandom.ThreadLocal class. This class assigns every thread a block of $2^{56} \approx 7.2 \cdot 10^{16}$ random numbers. After 128 threads, the blocks are recycled, but with changed seed.

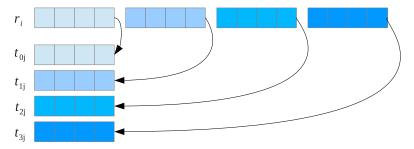


Figure 4.1: Block splitting

Leapfrog With the leapfrog method each thread $t \in [0, P)$ only consumes the P^{th} random number and jump ahead in the random sequence by the number of threads, P. This method requires the ability to jump very quickly ahead in the sequence of random numbers by a given amount. Figure 4.2 graphically shows the concept of the *leapfrog* method.

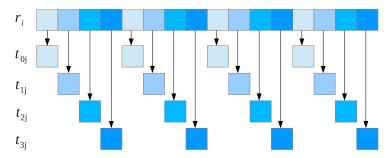


Figure 4.2: Leapfrogging

LCG64ShiftRandom The LCG64ShiftRandom class is a port of the trng::-lcg64_shift PRNG class of the TRNG¹¹ library, implemented in C++.[3] It implements additional methods, which allows to implement the *block splitting*—and also the *leapfrog*—method.

```
public class LCG64ShiftRandom extends Random {
   public void split(final int p, final int s);
   public void jump(final long step);
   public void jump2(final int s);
   ...
}
```

Listing 12: LCG64ShiftRandom class

¹¹http://numbercrunch.de/trng/

Listing 12 shows the interface used for implementing the block splitting and leapfrog parallelizations technique. This methods have the following meaning:

- **split** Changes the internal state of the PRNG in a way that future calls to nextLong() will generated the s^{th} sub-stream of p^{th} sub-streams. s must be within the range of [0, p-1). This method is used for parallelization via leapfrogging.
- jump Changes the internal state of the PRNG in such a way that the engine jumpss steps ahead. This method is used for parallelization via block splitting.
- jump2 Changes the internal state of the PRNG in such a way that the engine jumps 2^s steps ahead. This method is used for parallelization via block splitting.

Runtime performance Table 4.1 shows the random number generation speed for the different PRNG implementations. 12

| | \mathtt{int}/s | long/s | ${	t float/s}$ | $	exttt{double/s}$ |
|-------------------|---------------------------|--------------------|--------------------|--------------------|
| Random | $69 \cdot 10^{6}$ | $34 \cdot 10^{6}$ | $69 \cdot 10^{6}$ | $34 \cdot 10^{6}$ |
| ThreadLocalRandom | $191 \cdot 10^{6}$ | $184 \cdot 10^{6}$ | $137 \cdot 10^{6}$ | $138 \cdot 10^{6}$ |
| LCG64ShiftRandom | $153 \cdot 10^{6}$ | $159 \cdot 10^{6}$ | $118 \cdot 10^{6}$ | $119 \cdot 10^{6}$ |

Table 4.1: Performance of various PRNG implementations.

The default PRNG used by the **Jenetics** has the best runtime performance behavior (for generating int values).

4.3 Serialization

Jenetics supports serialization for a number of classes, most of them are located in the org.jenetics package. Only the concrete implementations of the Gene and the Chromosome interfaces implements the Serializable interface. This gives a greater flexibility when implementing own Genes and Chromosomes.

- BitGene
- BitChromosome
- CharacterGene
- CharacterChromosome
- IntegerGene
- IntegerChromosome
- LongGene
- LongChomosome

- DoubleGene
- DoubleChromosome
- EnumGene
- PermutationChromosome
- Genotype
- Phenotype
- Population

 $^{^{12}\}mathrm{Measured}$ on a Intel(R) Core(TM) i5-3427U CPU @ 1.80GHz with Java(TM) SE Runtime Environment (build 1.8.0_51-b16)—Java HotSpot(TM) 64-Bit Server VM (build 25.51-b03, mixed mode)—, using the JHM micro-benchmark library.

With the serialization mechanism you can write a population to disk and load it into an new EvolutionStream at a later time. It can also be used to transfer populations to evolution engines, running on different hosts, over a network link. The IO class, located in the org.jenetics.util package, supports native Java serialization and JAXB XML serialization.

```
Creating result population.
   EvolutionResult < DoubleGene, Double > result = stream
2
        .limit(100)
        . collect(toBestEvolutionResult());
4
5
   // Writing the population to disk.
   final File file = new File("population.xml");
   IO.jaxb.write(result.getPopulation(), file);
    Reading the population from disk.
10
   Population < DoubleGene, Double > population =
11
        (\,Population\!<\!DoubleGene\,,\;\;Double\!>) IO\,.\,jaxb\,.\,read\,(\,file\,)\,;
12
13
   {\bf EvolutionStream < DoubleGene}\;,\;\; {\bf Double >}\; {\bf stream}\; = \; {\bf Engine}\;
        .build(ff, gtf)
14
        .stream (population, 1);
15
```

The following listing shows the XML serialization of a Population which consists of Genotypes as shown in figure 3.2 on page 6; only the first Phenotype is shown.

```
<?xml version="1.0" encoding="UTF-8" standalone="yes"?>
   <org.jenetics.Population size="5">
3
       <phenotype</pre>
           xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
           xsi:type="org.jenetics.Phenotype" generation="294"
6
           <genotype length="5" ngenes="100">
               <chromosome
                   xsi:type="org.jenetics.DoubleChromosome"
10
                   length="20" min="0.0" max="1.0"
11
                   <allele>0.27251556008507416</allele>
12
                    <allele>0.003140816229067145</allele>
13
                    <allele>0.43947528327497376</allele>
14
                    <allele>0.10654807463069327</allele>
15
                    <allele>0.19696530915810317</allele>
17
                    <allele>0.7450003838065538</allele>
                   <allele>0.5594416969271359</allele>
18
                    <allele>0.02823782430152355</allele>
19
                    <allele>0.5741102315010789</allele>
20
                    <allele>0.4533651041367144</allele>
22
                    <allele>0.811148141800367</allele>
23
                    <allele>0.5710456351848858</allele>
24
                    <allele>0.30166768355230955</allele>
                    <allele>0.5455492865240272</allele>
25
                    <allele>0.21068427527733102</allele>
26
                    <allele>0.5265067943902246</allele>
27
                    <allele>0.273549098065591</allele>
29
                    <allele>0.2648197379297126</allele>
30
                    <allele>0.8732775776362911</allele>
                    <allele>0.9498003919007005</allele>
31
               </chromosome>
32
           </genotype>
35
           <fitness
               xmlns:xs="http://www.w3.org/2001/XMLSchema"
36
               xsi:type="xs:double"
37
           >234.23443</fitness>
38
           <raw-fitness
39
               xmlns:xs="http://www.w3.org/2001/XMLSchema"
                xsi:type="xs:double"
41
42
           >34.2498</raw-fitness>
       </phenotype>
43
```

```
44 ...
45 </org.jenetics.Population>
```

When serializing a whole population the fitness function and fitness scaler are not part of the serialized XML file. If an EvolutionStream is initialized with a previously serialized Population, the Engine's current fitness function and fitness scaler are used for re-calculating the fitness values.

The 10 class can also be used for serializing own JAXB annotated classes. Listing 13 shows how an user-defined JAXB class can be marshaled with the 10 helper class.

```
@XmlRootElement(name = "data-class")
  @XmlType(name = "DataClass")
  @XmlAccessorType \, (\, XmlAccessType \, . \, FIELD)\\
   public class DataClass {
       @XmlAttribute public String name;
5
       @XmlValue public String value;
7
       public DataClass(final String name, final String value) {
           this.name = name;
           this.value = value;
10
11
12
       // Default constructor needed by JAXB.
13
14
       public DataClass() {
15
16
       public static void main(final String[] args) throws Exception {
17
             / Registering the class before serialization.
18
           IO.JAXB.register(DataClass.class);
19
20
           final DataClass data =
21
               new DataClass("some name", "some value");
22
           IO.jaxb.write(data, System.out);
23
       }
24
25
```

Listing 13: DataClass JAXB serialization

The output of the marshaled DataClass looks like expected.

```
1 <?xml version="1.0" encoding="UTF-8" standalone="yes"?>
2 <data-class name="some name">some value</data-class>
```

4.4 Utility classes

The org.jenetics.util and the org.jenetics.stat package of the library contains utility and helper classes which are essential for the implementation of the GA.

org.jenetics.util.Seq Most notable are the Seq interfaces and its implementation. They are used, among others, in the Chromosome and Genotype classes and holds the Genes and Chromosomes, respectively. The Seq interface itself represents a fixed-sized, ordered sequence of elements. It is an abstraction over the Java build-in *array*-type, but much safer to use for *generic* elements, because there are no casts needed when using *nested* generic types.

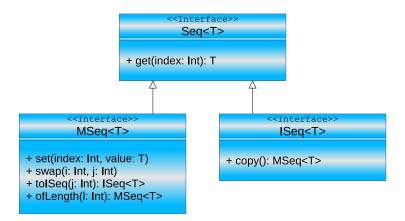


Figure 4.3: Seq class diagram

Figure 4.3 shows the Seq class diagram with their most important methods. The interfaces MSeq and ISeq are mutable, respectively immutable specializations of the basis interface. Creating instances of the Seq interfaces is possible via the static factory methods of the interfaces.

```
| // Create "different" sequences.
| final Seq<Integer> a1 = Seq.of(1, 2, 3);
| final MSeq<Integer> a2 = MSeq.of(1, 2, 3);
| final ISeq<Integer> a3 = MSeq.of(1, 2, 3).toISeq();
| final MSeq<Integer> a4 = a3.copy();
| // The 'equals' method performs element-wise comparison.
| assert(a1.equals(a2) && a1 != a2);
| assert(a2.equals(a3) && a2 != a3);
| assert(a3.equals(a4) && a3 != a4);
```

How to create instances of the three Seq types is shown in the listing above. The Seq classes also allows a more *functional* programming style. For a full method description refer to the Javadoc.

org.jenetics.stat This package contains classes for calculating statistical moments. They are designed to work smoothly with the Java Stream API and are divided into mutable (number) consumers and immutable value classes, which holds the statistical moments. The additional classes calculate the

minimum,
variance,
maximum,
skewness and

Table 4.2 on the next page contains the available statistical moments for the different numeric types. The following code snippet shows an example on how to collect double statistics from an given DoubleGene stream.

kurtosis value.

```
// Collecting into an statistics object.
DoubleChromosome chromosome = ...
```

| Numeric type | Consumer class | Value class | |
|--------------|------------------------|---------------|--|
| int | IntMomentStatistics | IntMoments | |
| long | LongMomentStatistics | LongMoments | |
| double | DoubleMomentStatistics | DoubleMoments | |

Table 4.2: Statistics classes

```
DoubleMomentStatistics statistics = chromosome.stream()

. collect(DoubleMomentStatistics

. toDoubleMomentStatistics(v -> v.doubleValue()));

// Collecting into an moments object.

DoubleMoments moments = chromosome.stream()

. collect(DoubleMoments.toDoubleMoments(v -> v.doubleValue()));
```

5 Extending Jenetics

The **Jenetics** library was designed to give you a great flexibility in transforming your problem into a structure that can be solved by an GA. It also comes with different implementations for the base data-types (genes and chromosomes) and operators (alterers and selectors). If it is still some functionality missing, this section describes how you can extend the existing classes. Most of the *extensible* classes are defined by an interface and have an abstract implementation which makes it easier to extend it.

5.1 Genes

Genes are the starting point in the class hierarchy. They hold the actual information, the alleles, of the problem domain. Beside the *classical* bit-gene, **Jenetics** comes with gene implementations for numbers (double-, int- and long values), characters and enumeration types.

For implementing your own gene type you have to implement the Gene interface with three methods: (1) the getAllele() method which will return the wrapped data, (2) the newInstance method for creating new, random instances of the gene—must be of the same type and have the same constraint—and (3) the isValid() method which checks if the gene fulfill the expected constraints. The gene constraint might be violated after mutation and/or recombination. If you want to implement a new number-gene, e. g. a gene which holds complex values, you may want extend it from the abstract NumericGene class. Every Gene extends the Serializable interface. For normal genes there is no more work to do for using the Java serialization mechanism.

The custom Genes and Chromosomes implementations must use the Random engine available via the RandomRegistry.getRandom method when implementing their factory methods. Otherwise it is not possible to seamlessly change the Random engine by using the RandomRegistry.setRandom method.

If you want to support your own allele type, but want to avoid the effort of implementing the Gene interface, you can alternatively use the Any-Gene class. It can be created with AnyGene.of(Supplier, Predicate). The given Supplier is responsible for creating new random alleles, similar to the newInstance method in the Gene interface. Additional validity checks are performed by the given Predicate.

```
class LastMonday {
       // Creates new random 'LocalDate' objects.
2
       private static LocalDate nextMonday() {
3
           final Random random = RandomRegistry.getRandom();
           LocalDate
               . of (2015, 1, 5)
6
               . plusWeeks(random.nextInt(1000));
8
       }
9
10
       // Do some additional validity check.
       private static boolean is Valid (final LocalDate date) {...}
11
12
       // Create a new gene from the random 'Supplier' and
13
       // validation 'Predicate'
14
       private final AnyGene<LocalDate> gene = AnyGene
15
           . of (LastMonday::nextMonday, LastMonday::isValid);
16
17 }
```

Listing 14: AnyGene example

Example listing 14 shows the (almost) minimal setup for creating user defined Gene allele types. By convention, the Random engine, used for creating the new LocalDate objects, must be requested from the RandomRegistry. With the optional validation function, isValid, it is possible to reject Genes whose alleles doesn't conform some criteria.

The simple usage of the AnyGene has also its downsides. Since the AnyGene instances are created from function objects, serialization is not supported by the AnyGene class. It is also not possible to use some Alterer implementations with the AnyGene, like:

- GaussianMutator,
- MeanAlterer and
- PartiallyMatchedCrossover

5.2 Chromosomes

A new gene type normally needs a corresponding chromosome implementation. The most important part of a chromosome is the factory method newInstance, which lets the evolution Engine create a new Chromosome instance from a sequence of Genes. This method is used by the Alterers when creating new, combined Chromosomes. The other methods should be self-explanatory. The chromosome has the same serialization mechanism as the gene. For the minimal case it can extends the Serializable interface.

Corresponding to the AnyGene, it is possible to create chromosomes with arbitrary allele types with the AnyChromosome.

```
public class LastMonday {
// The used problem Codec.
```

```
private static final Codec<LocalDate, AnyGene<LocalDate>>
       CODEC = Codec.of(
           Genotype.of(AnyChromosome.of(LastMonday::nextMonday)),
5
           gt -> gt.getGene().getAllele()
       // Creates new random 'LocalDate' objects.
9
       private static LocalDate nextMonday() {
10
           final Random random = RandomRegistry.getRandom();
11
12
               . of (2015, 1, 5)
13
                .plusWeeks(random.nextInt(1000));
14
15
16
17
       // The fitness function: find a monday at the end of the month.
       private static int fitness (final LocalDate date) {
18
           return date.getDayOfMonth();
19
20
21
       public static void main(final String[] args) {
22
           final Engine < Any Gene < Local Date >, Integer >
                                                         engine = Engine
23
                .builder(LastMonday::fitness, CODEC)
24
                . offspringSelector (new RouletteWheelSelector <>())
25
                . build();
26
27
28
           final Phenotype<AnyGene<LocalDate>, Integer> best =
                engine.stream()
29
30
                    .limit(50)
                    . collect (EvolutionResult.toBestPhenotype());
31
32
           System.out.println(best);
33
       }
34
35
```

Listing 15: AnyChromosome example

Listing 15 on the previous page shows a full usage example of the AnyGene and AnyChromosome class. The example tries to find a Monday with a maximal day of month. An interesting detail is, that an Codec¹³ definition is used for creating new Genotypes and for converting them back to LocalDate alleles.

The convenient usage of the AnyChromosome has to be payed by the same restriction as for the AnyGene: no serialization support for the chromosome and not usable for all Alterer implementations.

5.3 Selectors

If you want to implement your own selection strategy you only have to implement the Selector interface with the select method.

 $^{^{13}\}mathrm{See}$ section 6.2 on page 41 for a more detailed Codec description.

```
11 );
12 }
```

Listing 16: Selector interface

The first parameter is the original population from which the sub-population is selected. The second parameter, count, is the number of individuals of the returned sub-population. Depending on the selection algorithm, it is possible that the sub-population contains more elements than the original one. The last parameter, opt, determines the optimization strategy which must be used by the selector. This is exactly the point where it is decided whether the GA minimizes or maximizes the fitness function.

Before implementing a selector from scratch, consider to extend your selector from the ProbabilitySelector (or any other available Selector implementation). It is worth the effort to try to express your selection strategy in terms of selection property P(i).

5.4 Alterers

For implementing a new alterer class it is necessary to implement the Alterer interface. You might do this if your new Gene type needs a special kind of alterer not available in the **Jenetics** project.

```
@Functional Interface\\
   public interface Alterer<
2
3
       G extends Gene <?, G>
       C extends Comparable <? super C>
5
  >
7
       public int alter (
           Population <G, C> population ,
9
           long generation
       );
10
11 }
```

Listing 17: Alterer interface

The first parameter of the alter method is the Population which has to be altered. Since the Population class is mutable, the altering is performed in place. The second parameter is the generation of the newly created individuals and the return value is the number of genes that has been altered.

5.5 Statistics

During the developing phase of an application which uses the **Jenetics** library, additional statistical data about the evolution process is crucial. Such data can help to optimize the parametrization of the evolution Engine. A good starting point is to use the EvolutionStatistics class in the org.jenetics.engine package. If the data in the EvolutionStatistics class doesn't fit your needs, you simply have to write your own statistics class. It is not possible to derive from the existing EvolutionStatistics class. This is not a real restriction, since you still can use the class by delegation. Just implement the Java Consumer<EvolutionResult<G, C>> interface.

5.6 Engine

The evolution Engine itself can't be extended, but it is still possible to create an EvolutionStream without using the Engine class. ¹⁴ Because the Evolution-Stream has no direct dependency to the Engine, it is possible to use an different, special evolution Function.

```
public final class SpecialEngine {
          The Genotype factory
       private static final Factory<Genotype<DoubleGene>>> GTF =
3
           Genotype. of (DoubleChromosome. of (0, 1));
5
       // The fitness function.
       private static Double fitness(final Genotype<DoubleGene> gt) {
8
           return gt.getGene().getAllele();
9
10
       // Create new evolution start object.
11
       private static EvolutionStart < DoubleGene, Double>
12
       start (final int population Size, final long generation)
13
           final Population < DoubleGene, Double > population = GTF
14
15
                .instances()
                .map(gt -> Phenotype
16
                     of(gt, generation, SpecialEngine::fitness))
17
18
                . limit (population Size)
                . collect (Population.toPopulation());
19
20
21
           return EvolutionStart.of(population, generation);
       }
22
23
       // The special evolution function.
24
       private static EvolutionResult < Double Gene, Double >
25
26
       evolve(final EvolutionStart < DoubleGene, Double > start) {
           return ...; // Add implementation!
27
28
29
       public static void main(final String[] args) {
30
           {\bf final} \ \ {\bf Genotype < Double Gene > \ best = Evolution Stream}
31
                .of(() -> start(50, 0), SpecialEngine::evolve)
32
                .limit(limit.bySteadyFitness(10))
33
                .limit(100)
34
                . collect (EvolutionResult.toBestGenotype());
35
36
           System.out.println("Best Genotype: " + best));
37
       }
38
39
```

Listing 18: Special evolution engine

Listing 18 shows a *complete* implementation stub for using an own special evolution Function.

6 Advanced topics

This section describes some advanced topics for setting up an evolution Engine or EvolutionStream. It contains some problem encoding examples and how to

 $^{^{14}\}mathrm{Also}$ refer to section 3.3.4 on page 19 on how to create an EvolutionStream from an evolution Function.

override the default validation strategy of the given Genotypes. The last section contains a detailed description of the implemented termination strategies.

6.1 Encoding

This section presents some encoding examples for common problems. The encoding should be a complete and minimal expression of a solution to the problem. An encoding is complete if it contains enough information to represent every solution to the problem. An minimal encoding contains only the information needed to represent a solution to the problem. If an encoding contains more information than is needed to uniquely identify solutions to the problem, the search space will be larger than necessary.

Whenever possible, the encoding should not be able to represent infeasible solutions. If a genotype can represent an infeasible solution, care must be taken in the fitness function to give partial credit to the genotype for its "good« genetic material while sufficiently penalizing it for being infeasible. Implementing a specialized Chromosome, which won't create invalid encodings can be a solution to this problem. In general, it is much more desirable to design a representation that can only represent valid solutions so that the fitness function measures only fitness, not validity. An encoding that includes invalid individuals enlarges the search space and makes the search more costly.

Some of the encodings represented in the following sections has been implemented by **Jenetics**, using the Codec¹⁵ interface, and are available through static factory methods of the org.jenetics.engine.codecs class.

6.1.1 Real function

Jenetics contains three different numeric gene and chromosome implementations, which can be used to encode a real function, $f: \mathbb{R} \to \mathbb{R}$:

- IntegerGene/Chromosome,
- LongGene/Chromosome and
- DoubleGene/Chromosome.

It is quite easy to encode a real function. Only the minimum and maximum value of the function domain must be defined. The DoubleChromosome of length 1 is then wrapped into a Genotype.

```
Genotype.of(
DoubleChromosome.of(min, max, 1)
```

Decoding the double value from the Genotype is also straight forward. Just get the first gene from the first chromosome, with the getGene() method, and convert it to a double.

```
static double toDouble(final Genotype<DoubleGene> gt) {
   return gt.getGene().doubleValue();
}
```

 $^{^{15}}$ See section 6.2 on page 41.

When the Genotype only contains *scalar* chromosomes¹⁶, it should be clear, that it can't be altered by every Alterer. That means, that none of the Crossover alterers will be able to create modified Genotypes. For *scalars* the appropriate alterers would be the MeanAlterer, GaussianAlterer and Mutator.

Scalar Chromosomes and/or Genotypes can only be altered by MeanAlterer, GaussianAlterer and Mutator classes. Other alterers are allowed, but will have no effect on the Chromosomes.

6.1.2 Scalar function

Optimizing a function $f(x_1, ..., x_n)$ of one or more variable whose range is onedimensional, we have two possibilities for the Genotype encoding.[12] For the first encoding we expect that all variables, x_i , have the same minimum and maximum value. In this case we can simply create a Genotype with a Numeric-Chromosome of the desired length n.

```
Genotype.of(
DoubleChromosome.of(min, max, n)
);
```

The decoding of the Genotype requires a cast of the first Chromosome to a DoubleChromosome. With a call to the DoubleChromosome.toArray() method we return the variables $(x_1, ..., x_n)$ as double[] array.

```
static double[] toScalars(final Genotype<DoubleGene> gt) {
   return ((DoubleChromosome)gt.getChromosome()).toArray();
}
```

With the first encoding you have the possibility to use all available alterers, including all Crossover alterer classes.

The second encoding must be used if the minimum and maximum value of the variables x_i can't be the same for all i. For the different domains, each variable x_i is represented by a Numeric Chromosome with length one. The final Genotype will consist of n Chromosomes with length one.

```
Genotype.of(
DoubleChromosome.of(min1, max1, 1),
DoubleChromosome.of(min2, max2, 1),

...
DoubleChromosome.of(minn, maxn, 1)

builting
```

With the help of the new Java Stream API, the decoding of the Genotype can be done in a view lines. The DoubleChromosome stream, which is created from the chromosome Seq, is first mapped to double values and then collected into an array.

 $^{^{16}\}mathrm{Scalar}$ chromosomes contains only one gene.

As already mentioned, with the use of scalar chromosomes we can only use the MeanAlterer, GaussianAlterer or Mutator alterer class.

If there are performance issues in converting the Genotype into a double[] array, or any other numeric array, you can access the Genes directly via the Genotype.get(i, j) method and than convert it to the desired numeric value, by calling intValue(), longValue() or doubleValue().

6.1.3 Vector function

A function $f(X_1,...,X_n)$, of one to n variables whose range is m-dimensional, is encoded by m DoubleChromosomes of length n.[13] The domain-minimum and maximum values-of one variable X_i are the same in this encoding.

```
Genotype.of(
DoubleChromosome.of(min1, max1, m),
DoubleChromosome.of(min2, max2, m),

...
DoubleChromosome.of(minn, maxn, m)

buttoring

DoubleChromosome.of(minn, maxn, m)
```

The decoding of the vectors is quite easy with the help of the Java Stream API. In the first map we have to cast the Chromosome

Chromosome

DoubleChromosome. The second map then converts each DoubleChromosome to an double[] array, which is collected to an 2-dimensional double[n] [m] array afterwards.

For the special case of n = 1, the decoding of the Genotype can be simplified to the decoding we introduced for scalar functions in section 6.1.2.

```
static double[] toVector(final Genotype<DoubleGene> gt) {
    return ((DoubleChromosome)gt.getChromosome()).toArray();
}
```

6.1.4 Affine transformation

An affine transformation 17 , 18 is usually performed by a matrix multiplication with a transformation matrix—in a homogeneous coordinates system 19 . For a transformation in \mathbb{R}^2 , we can define the matrix A^{20} :

$$A = \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ 0 & 0 & 1 \end{pmatrix}. \tag{6.1}$$

A simple representation can be done by creating a **Genotype** which contains two **DoubleChromosomes** with a length of 3.

¹⁷https://en.wikipedia.org/wiki/Affine_transformation

¹⁸ http://mathworld.wolfram.com/AffineTransformation.html

 $^{^{19} {}m https://en.wikipedia.org/wiki/Homogeneous_coordinates}$

 $^{^{20} \}mathtt{https://en.wikipedia.org/wiki/Transformation_matrix}$

```
Genotype.of(
DoubleChromosome.of(min, max, 3),
DoubleChromosome.of(min, max, 3)

output

DoubleChromosome.of(min, max, 3)
```

The drawback with this kind of encoding is, that we will create a lot of *invalid* (non-affine transformation matrices) during the evolution process, which must be detected and discarded. It is also difficult to find the right parameters for the *min* and *max* values of the DoubleChromosomes.

A better approach will be to encode the transformation parameters instead of the transformation matrix. The affine transformation can be expressed by the following parameters:

- s_x the scale factor in x direction
- s_y the scale factor in y direction
- t_x the offset in x direction
- t_y the offset in y direction
- θ the rotation angle clockwise around origin
- k_x shearing parallel to x axis
- k_y shearing parallel to y axis

This parameters can then be represented by the following Genotype.

```
Genotype. of (
       // Scale
       DoubleChromosome.of(sxMin, sxMax),
       DoubleChromosome.of(syMin, syMax),
         Translation
       DoubleChromosome.of(txMin, txMax),
       DoubleChromosome.of(tyMin, tyMax),
         Rotation
       DoubleChromosome.of(thMin, thMax),
       // Shear
10
       DoubleChromosome.of(kxMin, kxMax),
11
       DoubleChromosome.of(kyMin, kxMax)
12
13 )
```

This encoding ensures that no invalid Genotype will be created during the evolution process, since the crossover will be only performed on the same kind of chromosome (same chromosome index). To convert the Genotype back to the transformation matrix A, the following equations can be used:

$$a_{11} = s_x \cos \theta + k_x s_y \sin \theta$$

$$a_{12} = s_y k_x \cos \theta - s_x \sin \theta$$

$$a_{13} = t_x$$

$$a_{21} = k_y s_x \cos \theta + s_y \sin \theta$$

$$a_{22} = s_y \cos \theta - s_x k_y \sin \theta$$

$$a_{23} = t_y$$

$$(6.2)$$

This corresponds to an transformation order of $T \cdot S_h \cdot S_c \cdot R$:

$$\left(\begin{array}{ccc} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{array} \right) \cdot \left(\begin{array}{ccc} 1 & k_x & 0 \\ k_y & 1 & 0 \\ 0 & 0 & 1 \end{array} \right) \cdot \left(\begin{array}{ccc} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{array} \right) \cdot \left(\begin{array}{ccc} \cos\theta & -\sin\theta & 0 \\ \sin\theta & \cos\theta & 0 \\ 0 & 0 & 1 \end{array} \right).$$

In Java code, the conversion from the Genotype to the transformation matrix, will look like this:

```
static double[][] toMatrix(final Genotype<DoubleGene> gt) {
          final double sx = gt.get(0, 0).doubleValue();
2
3
          final\ double\ sy=gt.get(1,\ 0).doubleValue();
          \label{eq:final_double} \begin{array}{ll} \textbf{final double} \hspace{0.1cm} \textbf{tx} \hspace{0.1cm} = \hspace{0.1cm} \textbf{gt.get} \hspace{0.1cm} (\hspace{0.1cm} 2\hspace{0.1cm}, \hspace{0.1cm} 0) \hspace{0.1cm} . \hspace{0.1cm} \textbf{doubleValue} \hspace{0.1cm} (\hspace{0.1cm}) \hspace{0.1cm} ; \end{array}
          final double ty = gt.get(3, 0).doubleValue();
          6
          \label{eq:final_double_kx} \textbf{final double} \ kx \ = \ \text{gt.get} \left( 5 \,, \ 0 \right). \\ \text{doubleValue} \left( \right);
          final double ky = gt.get(6, 0).doubleValue();
9
          final double cos_th = cos(th);
10
          final double sin_th = sin(th);
11
          final double a11 = \cos_{th} * sx + kx * sy * sin_{th};
12
          final double a12 = cos_th*kx*sy - sx*sin_th;
13
          final double a21 = cos_th*ky*sx + sy*sin_th;
14
          final double a22 = cos_th*sy - ky*sx*sin_th;
15
16
          return new double [][] {
17
                {a11, a12, tx},
{a21, a22, ty},
18
19
20
                 \{0.0, 0.0, 1.0\}
          };
21
22
```

For the introduced encoding all kind of alterers can be used. Since we have one scalar DoubleChromosome, the rotation angle θ , it is recommended also to add an MeanAlterer or GaussianAlterer to the list of alterers.

6.1.5 Graph

A graph can be represented in many different ways. The most known graph representation is the adjacency matrix. The following encoding examples uses adjacency matrices with different characteristics.

Undirected graph In an undirected graph the edges between the vertices have no direction. If there is a path between nodes i and j, it is assumed that there is also path from j to i.

Figure 6.1 on the following page shows an undirected graph and its corresponding matrix representation. Since the edges between the nodes have no direction, the values of the lower diagonal matrix are not taken into account. An application which optimizes an undirected graph has to ignore this part of the matrix.²¹

```
final int n = 6;
final Genotype<BitGene> gt = Genotype
of(BitChromosome.of(n), n);
```

 $^{^{21}}$ This property violates the *minimal* encoding requirement we mentioned at the beginning of section 6.1 on page 35. For simplicity reason this will be ignored for the undirected graph encoding.

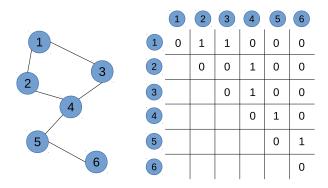


Figure 6.1: Undirected graph and adjacency matrix

The code snippet above shows how to create an adjacency matrix for a graph with n=6 nodes. It creates a genotype which consists of n BitChromosomes of length n each. Whether the node i is connected to node j can be easily checked by calling $\mathtt{gt.get(i-1, j-1).booleanValue()}$. For extracting the whole matrix as $\mathtt{int[]}$ array, the following code can be used.

Directed graph A directed graph (digraph) is a graph where the path between the nodes have a direction associated with them. The encoding of a directed graph looks exactly like the encoding of an undirected graph. This time the whole matrix is used and the second diagonal matrix is no longer ignored.

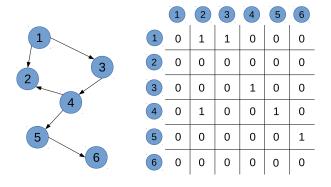


Figure 6.2: Directed graph and adjacency matrix

Figure 6.2 shows the adjacency matrix of a digraph. This time the whole matrix is used for representing the graph.

Weighted directed graph A weighted graph associates a weight (label) with every path in the graph. Weights are usually real numbers. They may be

restricted to rational numbers or integers.

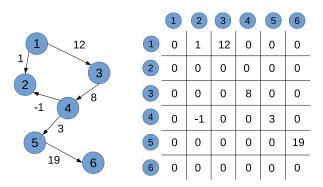


Figure 6.3: Weighted graph and adjacency matrix

The following code snippet shows how the Genotype of the matrix is created.

```
final int n = 6;
final double min = -1;
final double max = 20;
final Genotype<DoubleGene> gt = Genotype
of(DoubleChromosome.of(min, max, n), n);
```

For accessing the single matrix elements, you can simply call Genotype-get(i, j).doubleValue(). If the interaction with another library requires an double[][] array, the following code can be used.

```
final double[][] array = gt.toSeq().stream()
.map(DoubleChromosome.class::cast)
.map(DoubleChromosome::toArray)
.toArray(double[][]::new);
```

6.2 Codec

The Codec interface—located in the org.jenetics.engine package—narrows the gap between the fitness Function, which should be maximized/minimized, and the Genotype representation, which can be understand by the evolution Engine. With the Codec interface it is possible to implement the encodings of section 6.1 on page 35 in a more formalized way.

Normally, the Engine expects a fitness function which takes a Genotype as input. This Genotype has then to be transformed into an object of the problem domain. The usage Godec interface allows a tighter coupling of the Genotype definition and the transformation Godec.

```
public interface Codec<T, G extends Gene<?, G>> {
   public Factory<Genotype<G>> encoding();
   public Function<Genotype<G>, T> decoder();
}
```

Listing 19: Codec interface

 $^{^{22}}$ Section 6.1 on page 35 describes some possible encodings for common optimization problems.

Listing 19 on the previous page shows the Codec interface. The encoding() method returns the Genotype factory, which is used by the Engine for creating new Genotypes. The decoder Function, which is returned by the decoder() method, transforms the Genotype to the argument type of the fitness Function. Without the Codec interface, the implementation of the fitness Function is polluted with code, which transforms the Genotype into the argument type of the actual fitness Function.

```
static double eval(final Genotype<DoubleGene> gt) {
    final double x = gt.getGene().doubleValue();
    // Do some calculation with 'x'.
    return ...
}
```

The Codec for the example above is quite simple and is shown below. It is not necessary to implement the Codec interface, instead you can use the Codec.of factory method for creating new Codec instances.

```
final DoubleRange domain = DoubleRange.of(0, 2*PI);
final Codec<Double, DoubleGene> codec = Codec.of(
Genotype.of(DoubleChromosome.of(domain)),
gt -> gt.getChromosome().getGene().getAllele()

5 );
```

When using an Codec instance, the fitness Function solely contains code from your actual problem domain—no dependencies to classes of the **Jenetics** library.

```
static double eval(final double x) {
// Do some calculation with 'x'.
return ...
}
```

Jenetics comes with a set of standard encodings, which are created via static factory methods of the org.jenetics.engine.codecs class. The following subsections shows some of the implementation of this methods.

6.2.1 Scalar codecs

Listing 20 shows the implementation of the codecs.ofScalar factory method—for Integer scalars.

Listing 20: Codec factory method: ofScalar

The usage of the Codec, created by this factory method, simplifies the implementation of the fitness Function and the creation of the evolution Engine. For scalar types, the saving, in complexity and lines of code, is not that big, but using the factory method is still quite handy.

The following listing demonstrates the interaction between Codec, fitness Function and evolution Engine.

```
class Main {
// Fitness function directly takes an 'int' value.
static double fitness(int arg) {
```

6.2.2 Vector codecs

In the listing 21, the of Vector factory method returns a Codec for an int[] array. The domain parameter defines the allowed range of the int values and the length defines the length of the encoded int array.

Listing 21: Codec factory method: of Vector

The usage example of the *vector* Codec is almost the same as for the *scalar* Codec. As additional parameter, we need to define the length of the desired array and we define our fitness function with an int[] array.

```
class Main {
1
         // Fitness function directly takes an 'int[]' array.
2
         static double fitness(int[] args) {
3
              return ...;
4
        public static void main(String[] args) {
6
              {\bf final} \  \  {\bf Engine}{<} {\bf IntegerGene} \ , \  \  {\bf Double}{>} \  \  {\bf engine} \  \  = \  \  {\bf Engine}
8
                   .builder (
                        Main:: fitness,
9
10
                         of Vector (Int Range. of (0, 100), 10))
                    .build();
11
12
13
        }
14
```

6.2.3 Subset codec

There are currently two kinds of subset codecs you can choose from: finding subsets with *variable* size and with *fixed* size.

Variable-sized subsets A Codec for *variable-sized* subsets can be easily implemented with the use of a BitChromosome, as shown in listing 22.

```
.mapToObj(basicSet::get)
.collect(ISeq.toISeq())
;
]
]
```

Listing 22: Codec factory method: ofSubSet

The following usage example of *subset* Codec shows a simplified version of the Knapsack problem (see section 9.4 on page 66). We try to find a subset, from the given basic SET, where the sum of the values is as big as possible, but smaller or equal than 20.

```
class Main {
2
       // The basic set from where to choose an 'optimal' subset.
       final static ISeq<Integer> SET =
3
           ISeq. of (1, 2, 3, 4, 5, 6, 7, 8, 9, 10);
5
       // Fitness function directly takes an 'int' value.
6
       static int fitness(ISeq<Integer> subset) {
           assert (subset.size() <= SET.size());
8
9
           final int size = subset.stream().collect(
               Collectors.summingInt(Integer::intValue));
10
           return size \leq 20 ? size : 0;
11
12
       public static void main(String[] args) {
13
           final Engine < BitGene, Double > engine = Engine
14
15
               .builder (Main:: fitness, ofSubSet (SET))
               . build();
16
17
       }
18
19
```

Fixed-size subsets²³ The second kind of subset codec allows you to find the *best* subset of a given, fixed size. A classical usage for this encoding is the Subset sum problem²⁴:

Given a set (or multi-set) of integers, is there a non-empty subset whose sum is zero? For example, given the set $\{-7, -3, -2, 5, 8\}$, the answer is yes because the subset $\{-3, -2, 5\}$ sums to zero. The problem is NP-complete²⁵.

```
public class SubsetSum
       implements Problem < ISeq < Integer >, EnumGene < Integer >, Integer >
2
3
       private final ISeq<Integer> _basicSet;
4
5
       private final int _size;
6
       public SubsetSum(ISeq<Integer> basicSet, int size) {
7
           _basicSet = basicSet;
9
           _{size} = size;
10
11
       @Override
12
       public Function<ISeq<Integer>, Integer> fitness() {
13
           return subset -> abs(
14
               subset.stream().mapToInt(Integer::intValue).sum());
```

²³The algorithm for choosing subsets based on a FORTRAN77 version, originally implemented by Albert Nijenhuis, Herbert Wilf. The actual Java implementation is based on the C++ version by John Burkardt.[8], [15]

²⁴https://en.wikipedia.org/wiki/Subset_sum_problem

 $^{^{25}}https://en.~wikipedia.~org/wiki/NP-completeness$

```
16 }
17
18 @Override
19 public Codec<ISeq<Integer>, EnumGene<Integer>> codec() {
20 return codecs.ofSubSet(_basicSet, _size);
21 }
22 }
```

6.2.4 Composite codec

The *composite* Codec factory method allows to combine two or more Codecs into one. Listing 23 shows the method signature of the factory method, which is implemented directly in the Codec interface.

Listing 23: Composite Codec factory method

As you can see from the method definition, the combining Codecs and the combined Codec have the same Gene type.

Only Codecs which the same Gene type can be composed by the combining factory methods of the Codec class.

The following listing shows a full example which uses a combined Codec. It uses the subset Codec, introduced in section 6.2.3 on page 43, and combines it into a Tuple of subsets.

```
class Main
       static final ISeq<Integer> SET =
2
           ISeq. of (1, 2, 3, 4, 5, 6, 7, 8, 9);
3
       // Result type of the combined 'Codec'.
5
       static final class Tuple<A, B> {
6
7
           final A first;
           final B second;
9
           Tuple(final A first, final B second) {
                this. first = first;
10
                this.second = second;
11
12
13
       }
14
       static int fitness (Tuple < ISeq < Integer >, ISeq < Integer >> args) {
15
           return args.first.stream()
16
                    .mapToInt(Integer::intValue).sum() -
17
                args.second.stream()
18
                    .mapToInt(Integer::intValue).sum();
19
20
21
       public static void main(String[] args) {
22
              Combined 'Codec
23
           final Codec<Tuple<ISeq<Integer>, ISeq<Integer>>, BitGene>
24
                codec = Codec. of (
25
                    codecs.ofSubSet(SET),
26
```

```
27
                     codecs.ofSubSet(SET),
                     Tuple :: new
28
                );
29
30
            final Engine < BitGene, Integer > engine = Engine
31
                 .builder (Main::fitness, codec)
32
33
                 .build();
34
            final Phenotype < BitGene, Integer > pt = engine.stream()
35
                 .limit (100)
36
                 . collect (EvolutionResult.toBestPhenotype());
37
38
39
             / Use the codec for converting the result 'Genotype'.
            final Tuple < ISeq < Integer >, ISeq < Integer >> result =
40
41
                codec.decoder().apply(pt.getGenotype());
42
43
   }
```

If you have to combine more than one Codec into one, you have to use the second, more general, *combining* function: Codec.of(ISeq<Codec<?, G>>,-Function<Object[], T>). The example above shows how to use the general combining function. It is just a little bit more verbose and requires explicit casts for the *sub-codec* types.

```
final Codec<Triple<Long, Long, Long>, LongGene>
2
         codec = Codec.of(ISeq.of(
               codecs.ofScalar(LongRange.of(0, 100)),
3
               \begin{array}{l} codecs.ofScalar\left(LongRange.of\left(0,\ 1000\right)\right),\\ codecs.ofScalar\left(LongRange.of\left(0,\ 10000\right)\right)), \end{array}
5
               values ->
 6
                     final Long first = (Long) values [0];
                     final Long second = (Long) values [1];
 8
                     final Long third = (Long) values [2];
9
10
                     return new Triple <> (first , second , third);
11
         );
12
```

6.3 Problem

The Problem interface is a further abstraction level, which allows to bind the problem encoding and the fitness function into one class.

```
public interface Problem<
T,
G extends Gene<?, G>,
C extends Comparable<? super C>

{
public Function<T, C> fitness();
public Codec<T, G> codec();
}
```

Listing 24: Problem interface

Listing 24 shows the Problem interface. The generic type T represents the *native* argument type of the fitness function and C the Comparable result of the fitness function. G is the Gene type, which is used by the evolution Engine.

```
genes -> (int)genes.stream()
                . filter (BitGene::getBit).count(),
6
7
            Codec. of (
                  / Genotype Factory < Genotype < Bit Gene >>
                Genotype. of (BitChromosome. of (20, 0.15)),
9
                 // Genotype conversion
10
11
                 // Function<Genotype<BitGene>, <BitGene>>
                gt -> gt.getChromosome().toSeq()
12
            )
13
       );
14
15
   // Engine creation for Problem solving.
16
   final Engine < BitGene, Integer > engine = Engine
17
       . bulder (ONES COUNTING)
18
19
       . populationSize (150)
       .survivorsSelector(newTournamentSelector<>(5))
20
       . offspringSelector (new RouletteWheelSelector <>())
21
22
       .alterers (
            new Mutator <>(0.03).
23
24
            new SinglePointCrossover \langle (0.125) \rangle
25
       .build();
```

The listing above shows how a new Engine is created by using a predefined Problem instance. This allows the complete decoupling of problem and Engine definition.

6.4 Validation

A given problem should usually encoded in a way, that it is not possible for the evolution Engine to create *invalid* individuals (Genotypes). Some possible encodings for common data-structures are described in section 6.1 on page 35. The Engine creates new individuals in the *altering* step, by rearranging (or creating new) Genes within a Chromosome. Since a Genotype is treated as *valid* if every single Gene in every Chromosome is *valid*, the validity property of the Genes determines the validity of the whole Genotype.

The Engine tries to create only valid individuals when creating the initial Population and when it replaces Genotypes which has been *destroyed* by the altering step. Individuals which has exceeded its lifetime are also replaced by new valid ones. To guarantee the termination of the Genotype creation, the Engine is parameterized with the maximal number of retries (individualCreationRetries)²⁶.

If the described validation mechanism doesn't fulfill your needs, you can override the validation mechanism by creating the Engine with an external Genotype validator.

```
final Predicate <? super Genotype <DoubleGene>>> validator = gt -> {
    // Implement advanced Genotype check.

    boolean valid = ...;
    return valid;

};

final Engine <DoubleGene, Double> engine = Engine.builder(gtf, ff)

limit(100)

genotype Validator(validator)

individualCreationRetries(15)

build();
```

 $^{^{26}\}mathrm{See}$ section 3.3.3 on page 17.

Having the possibility to replace the default validation check is a nice thing, but it is better to not create invalid individuals in the first place. For achieving this goal, you have two possibilities:

- 1. Creating an explicit Genotype factory and
- 2. implementing new Gene/Chromosome/Alterer classes.

Genotype factory The usual mechanism for defining an encoding is to create a Genotype $prototype^{27}$. Since the Genotype implements the Factory interface, an prototype instance can easily passed to the Engine.builder method. For a more advanced Genotype creation, you *only* have to create an explicit Genotype factory.

```
final Factory<Genotype<DoubleGene>>> gtf = () -> {
    // Implement your advanced Genotype factory.

Genotype<DoubleGene>> genotype = ...;
return genotype;

};

final Engine<DoubleGene, Double> engine = Engine.builder(gtf, ff)
    .limit(100)
    .individualCreationRetries(15)
    .build();
```

With this method you can avoid that the Engine creates invalid individuals in the first place, but it is still possible that the alterer step will destroy your Genotypes.

Gene/Chromosome/Alterer Creating your own Gene, Chromosome and Alterer classes is the most heavy-wighted possibility for solving the *validity* problem. Refer to section 5 on page 30 for a more detailed description on how to implement this classes.

6.5 Termination

Termination is the criterion by which the evolution stream decides whether to continue or truncate the stream. This section gives a deeper insight into the different ways of terminating or truncating the evolution stream, respectively.

The termination strategies in the following sub-sections were tested by solving the Knapsack problem (see section 9.4 on page 66) with 250 items. This makes it a real problem with a search-space size of $2^{250} \approx 10^{75}$ elements. To make the tests comparable, all runs uses the very same set of knapsack items.

6.5.1 Fixed generation

The simplest way for terminating the evolution process, is to define a maximal number of generations on the EvolutionStream. It just uses the existing limit method of the Java Stream interface.

```
final long MAX_GENERATIONS = 100;
EvolutionStream < DoubleGene, Double > stream = engine.stream()
limit (MAX_GENERATIONS);
```

²⁷https://en.wikipedia.org/wiki/Prototype_pattern

This kind of termination method should always be applied—usually additional with other evolution terminators—, to guarantee the truncation of the evolution stream and to define an upper limit of the executed generations.

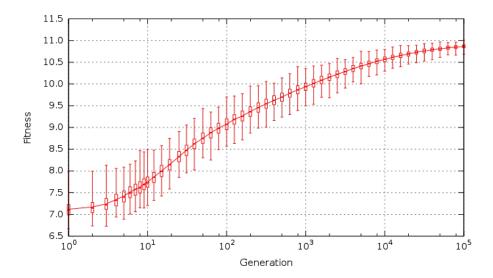


Figure 6.4: Fixed generation termination

Diagram 6.4 shows the best fitness values of the used Knapsack problem after a given number of generations, whereas the candle-stick points represents the min, 25^{th} percentile, median, 75^{th} percentile and max fitness after 250 repetitions per generation. The solid line shows for the mean of the best fitness values. For a small increase of the fitness value, the needed generations grows exponentially. This is especially the case when the fitness is approaching to its maximal value.

6.5.2 Steady fitness

The *steady fitness* strategy truncates the evolution stream if its best fitness hasn't changed after a given number of generations. The predicate maintains an internal state, the number of generations with non increasing fitness, and must be newly created for every evolution stream.

```
final class SteadyFitnessLimit<C extends Comparable<? super C>>
2
       implements Predicate < Evolution Result <?, C>>
3
       private final int _generations;
       private boolean _proceed = true;
       private int _stable = 0;
6
       private C _fitness;
8
       public SteadyFitnessLimit(final int generations) {
9
10
           _generations = generations;
11
12
13
       @Override
       public boolean test(final EvolutionResult <?, C> er) {
14
           if (!_proceed) return false;
15
           if (_fitness == null) {
16
                 _fitness = er.getBestFitness();
17
```

```
_{\text{stable}} = 1;
              else {
19
                 final Optimize opt = result.getOptimize();
20
21
                    (opt.compare(_fitness, er.getBestFitness()) >= 0) {
                      _proceed = ++_stable <= _generations;
22
                   else {
23
24
                       _fitness = er.getBestFitness();
                      _{\text{stable}} = 1;
25
26
27
            return _proceed;
28
29
30
```

Listing 25: Steady fitness

Listing 25 on the preceding page shows the implementation of the limit-bySteadyFitness(int) in the org.jenetics.engine package. It should give you an impression of how to implement own termination strategies, which possible holds and internal state.

```
| Engine < Dobule Gene, Double > engine = ... |
| Evolution Stream < Double Gene, Double > stream = engine.stream () |
| . limit (limit.by Steady Fitness (15));
```

The steady fitness terminator can be created by the bySteadyFitness factory method of the org.jenetics.engine.limit class. In the example above, the evolution stream is terminated after 15 stable generations.

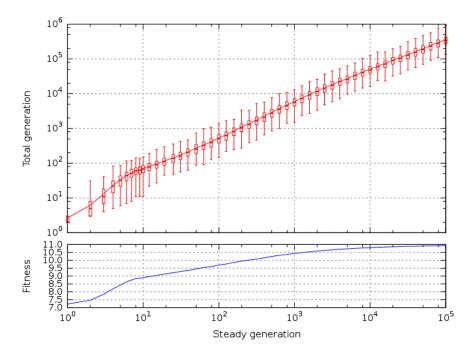


Figure 6.5: Steady fitness termination

Diagram 6.5 shows the actual total executed generation depending on the desired number of steady fitness generations. The variation of the total generation is quite big, as shown by the candle-sticks. Though the variation can be quite

big—the termination test has been repeated 250 times for each data point—, the tests showed that the *steady fitness* termination strategy always terminated, at least for the given test setup. The lower diagram give an overview of the fitness progression. Only the mean values of the maximal fitness is shown.

6.5.3 Evolution time

This termination strategy stops the evolution when the elapsed evolution time exceeds an user-specified maximal value. The evolution stream is only truncated at the end of an generation and will not interrupt the current evolution step. An maximal evolution time of zero ms will at least evaluate one generation. In an time-critical environment, where a solution must be found within a maximal time period, this terminator let you define the desired guarantees.

```
Engine < DobuleGene, Double > engine = ...
EvolutionStream < DoubleGene, Double > stream = engine.stream()
limit(limit.byExecutionTime(Duration.ofMillis(500));
```

In the code example above, the byExecutionTime(Duration) method is used for creating the termination object. Another method, byExecutionTime(Duration, Clock), lets you define the java.time.Clock, which is used for measure the execution time. Jenetics uses the nano precision clock org.jenetics.util.NanoClock for measuring the time. To have the possibility to define a different Clock implementation is especially useful for testing purposes.

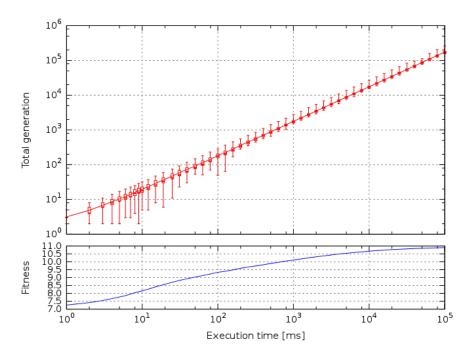


Figure 6.6: Execution time termination

Diagram 6.6 shows the evaluated generations depending on the execution time. Except for very small execution times, the evaluated generations per time

unit stays quite stable.²⁸ That means that a doubling of the execution time will double the number of evolved generations.

6.5.4 Fitness threshold

A termination method that stops the evolution when the best fitness in the current population becomes less than the user-specified fitness threshold and the objective is set to minimize the fitness. This termination method also stops the evolution when the best fitness in the current population becomes greater than the user-specified fitness threshold when the objective is to maximize the fitness.

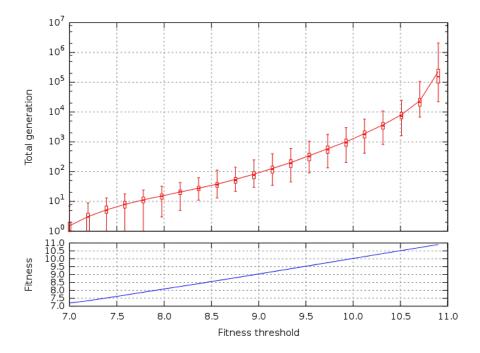


Figure 6.7: Fitness threshold termination

Diagram 6.7 shows executed generations depending on the minimal fitness value. The total generations grows exponentially with the desired fitness value. This means, that this termination strategy will (practically) not terminate, if the value for the fitness threshold is chosen to high. And it will definitely not terminate if the fitness threshold is higher than the *global* maximum of the fitness function. It will be a *perfect* strategy if you can define some *good enough* fitness value, which can be *easily* achieved.

6.6 Evolution performance

This section contains an empirical *proof*, that *evolutionary* selectors deliver significantly better fitness results than a random search. The MonteCarloSelector is used for creating the comparison (random search) fitness values.

²⁸While running the tests, all other CPU intensive process has been stopped. The measuring

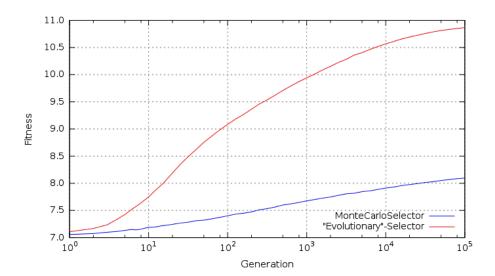


Figure 6.8: Selector-performance (Knapsack)

Diagram 6.8 shows the *evolution* performance of the Selector²⁹ used by the examples in section 6.5 on page 48. The lower blue line shows the (mean) fitness values of the *Knapsack* problem when using the MonteCarloSelector for selecting the survivors and offspring population. It can be easily seen, that the performance of the *real* evolutionary Selectors is much better than a random search.

7 Internals

This section contains internal implementation details which doesn't fit in one of the previous sections. They are not essential for using the library, but would give the user a deeper insight in some design decisions, made when implementing the library. It also introduces tools and classes which where developed for testing purpose. This classes resides below the org.jenetics.internal package. Though they are not part of the official API, they are packed into the delivered jar and can be used accordingly. Be aware that all classes below the org.jenetics.internal package can be changed and removed without announcement.

7.1 PRNG testing

Jenetics uses the dieharder³⁰ (command line) tool for testing the *randomness* of the used PRNGs. dieharder is a random number generator (RNG) testing suite. It is intended to test generators, not files of possibly random numbers. Since dieharder needs a huge amount of random data, for testing the quality

started after a warm-up phase.

²⁹The termination tests are using a TournamentSelector, with tournament-size 5, for selecting the survivors, and a RouletteWheelSelector for selecting the offspring.

³⁰From Robert G. Brown: http://www.phy.duke.edu/~rgb/General/dieharder.php

of a RNG, it is usually advisable to pipe the random numbers to the dieharder process:

```
$ cat /dev/urandom | dieharder -g 200 -a
```

The example above demonstrates how to stream a raw binary stream of bits to the stdin (raw) interface of dieharder. With the DieHarder class, which is part of the org.jenetics.internal.util package, it is easily possible to test PRNGs extending the java.util.Random class. The only requirement is, that the PRNG must be default-constructible and part of the classpath.

Calling the command above will create an instance of the given random engine and stream the random data (bytes) to the raw interface of dieharder process.

```
#-----
  # Testing: <random-engine-name> (2015-07-11 23:48)
3
  #-----#
  # Linux 3.19.0-22-generic (amd64)
  # java version "1.8.0_45"
# Java(TM) SE Runtime Environment (build 1.8.0 45-b14)
  # Java HotSpot(TM) 64-Bit Server VM (build 25.45-b02)
            dieharder version 3.31.1 Copyright 2003 Robert G. Brown
12
    Seed
13
  stdin_input_raw | 1.36e+07 | | 1583496496 |
14
15
        test_name | ntup | tsamples | psamples | p-value | Assessment
  100|
    diehard_birthdays| 0| 100| diehard_operm5| 0| 1000000| diehard_rank_32x32| 0| 40000| diehard_rank_6x8| 0| 100000|
                                    100|0.63372078|
                                                   PASSED
19
                                    100|0.42965082|
                                                   PASSED
   diehard rank 32x32|
                                     100|0.95159380|
                                                   PASSED
20
21
  Preparing to run test 209. ntuple = 0
dab_monobit2 | 12 | 65000000 |
                                      1|0.76563780| PASSED
25
  # Summary: PASSED=112, WEAK=2, FAILED=0
26
           235,031.492 MB of random data created with 41.394 MB/sec
  # Runtime: 1:34:37
30
```

In the listing above, a part of the created dieharder report is shown. For testing the LCG64ShiftRandom class, which is part of the org.jenetics.util package, the following command can be called:

```
$ java -cp org.jenetics-3.5.0.jar \
    org.jenetics.internal.util.DieHarder \
    org.jenetics.util.LCG64ShiftRandom -a
```

Table 7.1 on the following page shows the summary of the $\tt dieharder$ tests. The full report is part of the source file of the LCG64ShiftRandom class. 31

 $^{^{31} \}rm https://github.com/jenetics/jenetics/blob/master/org.jenetics/src/main/java/org/jenetics/util/LCG64ShiftRandom.java$

| Passed tests | Weak tests | Failed tests |
|--------------|------------|--------------|
| 110 | 4 | 0 |

Table 7.1: LCG64ShiftRandom quality

7.2 Random seeding

The PRNGs 32 , used by the **Jenetics** library, needs to be initialized with a proper seed value before they can be used. The usual way for doing this, is to take the current time stamp.

```
public static long seed() {
    return System.nanoTime();
}
```

Before applying this method throughout the whole library, I decided to perform some statistical tests. For this purpose I treated the <code>seed()</code> method itself as PRNG and analyzed the created long values with the <code>DieHarder class</code>. The <code>seed()</code> method has been wrapped into the <code>org.jenetics.internal.util.-NanoTimeRandom</code> class. Assuming that the <code>dieharder</code> tool is in the search path, calling

```
$ java -cp org.jenetics-3.5.0.jar \
    org.jenetics.internal.util.DieHarder \
    org.jenetics.internal.util.NanoTimeRandom -a
```

will perform the statistical tests for the nano time $random\ engine$. The statistical quality is rather bad: every single test failed. Table 7.2 shows the summary of the dieharder report.³³

| Passed tests | Weak tests | Failed tests |
|--------------|------------|--------------|
| 0 | 0 | 114 |

Table 7.2: Nano time seeding quality

An alternative source of entropy, for generating seed values, would be the /dev/random or /dev/urandom file. But this approach is not portable, which was a prerequisite for the **Jenetics** library.

The next attempt tries to fetch the seeds from the JVM, via the Object.-hashCode() method. Since the hash code of an Object is available for every operating system and most likely "randomly "distributed."

```
public static long seed() {
   return ((long)new Object().hashCode() << 32) |
   new Object().hashCode();
4 }</pre>
```

This seed method has been wrapped into the ${\tt ObjectHashRandom}$ class and tested as well with

 $^{^{32}}$ See section 4.2 on page 23.

³³The detailed test report can be found in the source of the NanoTime-Random class. https://github.com/jenetics/jenetics/blob/master/org.jenetics/src/main/java/org/jenetics/internal/util/NanoTimeRandom.java

```
$ java -cp org.jenetics-3.5.0.jar \
      org.jenetics.internal.util.DieHarder \
      org.jenetics.internal.util.ObjectHashRandom -a
```

Table 7.3 shows the summary of the dieharder report³⁴, which looks better than the nano time seeding, but 86 failing tests was still not very satisfying.

| Passed tests | Weak tests | Failed tests |
|--------------|------------|--------------|
| 28 | 0 | 86 |

Table 7.3: Object hash seeding quality

After additional experimentation, a combination of the nano time seed and the object hash seeding seems to be the right solution. The rational behind this was, that the PRNG seed shouldn't rely on a single *source* of entropy.

```
public static long seed() {
       return mix(System.nanoType(), objectHashSeed());
2
3
  private static long mix(final long a, final long b) {
5
       long c = a^b;
6
7
       c = c << 17;
      c ^= c >>> 31;
       c = c << 8;
9
10
       return c;
11
12
  private static long objectHashSeed() {
13
      return ((long)new Object().hashCode() << 32) |
14
           new Object().hashCode();
15
16
```

Listing 26: Random seeding

The code in listing 26 shows how the nano time seed is mixed with the object seed. The mix method was inspired by the mixing step of the lcg64 shift³⁵ random engine, which has been reimplemented in the LCG64ShiftRandom class. Running the tests with

```
$ java -cp org.jenetics-3.5.0.jar \
      org.jenetics.internal.util.DieHarder \
      org.jenetics.internal.util.SeedRandom -a
```

leads to the statistics summary³⁶, which is shown in table 7.4 on the next page.

The statistical performance of this seeding is better, according to the dieharder test suite, than some of the real random engines, including the default Java Random engine. Using the proposed seed() method is in any case preferable to the simple System.nanoTime() call.

 $^{^{34}}$ Full report: https://github.com/jenetics/jenetics/blob/master/org.jenetics/src/ main/java/org/jenetics/internal/util/ObjectHashRandom.java

³⁵This class is part of the TRNG library: https://github.com/rabauke/trng4/blob/

 $[\]label{local_master} $$ \max - \frac{1}{36} Full \ report: \ https://github.com/jenetics/jenetics/blob/master/org.jenetics/src/$ main/java/org/jenetics/internal/util/SeedRandom.java

| Passed tests | Weak tests | Failed tests |
|--------------|------------|--------------|
| 112 | 2 | 0 |

Table 7.4: Combined random seeding quality

Open questions

- How does this method perform on operating systems other than Linux?
- How does this method perform on other JVM implementations?

8 Incubation

This section describes the classes in the *not-yet* released modules. Incubating features and experimental genetic operators will be implemented in this modules. If you find this classes useful, you must build the module yourself, since they are not yet available in the global maven repository. With

\$./gradlew <module>:jar

it is possible to create a module JAR which can be added to your project classpath. Be aware that interface and/or implementation of incubating modules can be changed without noticing.

Currently incubation modules:

- org.jenetics.tool Contains utility classes for measuring the evolution performance.³⁷ This classes where used for creating the diagrams in this manual.
- org.jenetix Contains non-standard selector and mutator classes.³⁸ The classes needed for the *Weasel program* (see section 8.1) are part of this module.

8.1 Weasel program

The Weasel program³⁹ is thought experiment from Richard Dawkins, in which he tries to illustrate the function of genetic *mutation* and *selection*.⁴⁰ For this reason he chooses the well known example of typewriting monkeys.

I don't know who it was first pointed out that, given enough time, a monkey bashing away at random on a typewriter could produce all the works of Shakespeare. The operative phrase is, of course, given enough time. Let us limit the task facing our monkey somewhat. Suppose that he has to produce, not the complete works of Shakespeare but just the short sentence »Methinks it is like a weasel«, and we shall make it relatively easy by giving him a typewriter with a restricted keyboard, one with just the 26 (uppercase) letters, and a space bar. How long will he take to write this one little sentence? [5]

³⁷http://jenetics.io/javadoc/org.jenetics.tool/3.5/index.html

 $^{^{38} \}mathtt{http://jenetics.io/javadoc/org.jenetix/3.5/index.html}$

³⁹ https://en.wikipedia.org/wiki/Weasel_program

⁴⁰The classes are located in the org.jenetix module.

The search space of the 28 character long target string is $27^{28} \approx 10^{40}$. If the monkey writes 1,000,000 different *sentences* per second, it would take about 10^{26} years (in average) writing the correct one. Although Dawkins did not provide the source code for his program, a »Weasel« style algorithm could run as follows:

- 1. Start with a random string of 28 characters.
- 2. Make n copies of the string (reproduce).
- 3. Mutate the characters with an mutation probability of 5%.
- 4. Compare each new string with the target string »METHINKS IT IS LIKE A WEASEL«, and give each a score (the number of letters in the string that are correct and in the correct position).
- 5. If any of the new strings has a perfect score (28), halt. Otherwise, take the highest scoring string, and go to step 2.

Richard Dawkins was also very careful to point out the limitations of this simulation:

Although the monkey/Shakespeare model is useful for explaining the distinction between single-step selection and cumulative selection, it is misleading in important ways. One of these is that, in each generation of selective »breeding«, the mutant »progeny« phrases were judged according to the criterion of resemblance to a distant ideal target, the phrase METHINKS IT IS LIKE A WEASEL. Life isn't like that. Evolution has no long-term goal. There is no long-distance target, no final perfection to serve as a criterion for selection, although human vanity cherishes the absurd notion that our species is the final goal of evolution. In real life, the criterion for selection is always short-term, either simple survival or, more generally, reproductive success. [5]

If you want to write a Weasel program with the **Jenetics** library, you need to use the special WeaselSelector and WeaselMutator.

```
public class WeaselProgram {
       private static final String TARGET =
2
3
           "METHINKS IT IS LIKE A WEASEL";
       private static int score(final Genotype<CharacterGene> gt) {
5
6
           final CharSequence source =
               (CharSequence) gt.getChromosome();
7
           return IntStream.range(0, TARGET.length())
               .map(i -> source.charAt(i) == TARGET.charAt(i) ? 1 : 0)
9
               . sum();
10
       }
11
12
       public static void main(final String[] args) {
13
14
           final CharSeq chars = CharSeq.of("A-Z");
           final Factory < Genotype < Character Gene >> gtf = Genotype.of(
15
               new CharacterChromosome(chars, TARGET.length())
16
17
           final Engine < CharacterGene, Integer > engine = Engine
18
               . builder (WeaselProgram::score, gtf)
19
```

```
. population Size (150)
20
                 .selector (new WeaselSelector <>())
21
                 . offspringFraction(1)
22
                 . alterers (new WeaselMutator < > (0.05))
23
                 . build();
24
            final Phenotype<CharacterGene, Integer> result = engine
25
26
                 .stream()
                 . limit (by Fitness Threshold (TARGET. length () - 1))
27
                 .limit(byrithess.m.c., peek(r -> System.out.println(
28
                     r.getTotalGenerations() +
29
                     r.getBestPhenotype()))
30
31
                 . collect(toBestPhenotype());
            System.out.println(result);
32
33
```

Listing 27: Weasel program

Listing 27 on the previous page shows how-to implement the WeaselProgram with **Jenetics**. Step (1) and (2) of the algorithm is done implicitly when the initial population is created. The third step is done by the WeaselMutator, with mutation probability of 0.05. Step (4) is done by the WeaselSelector together with the configured offspring-fraction of one. The evolution stream is limited by the limit.byFitnessThreshold, which is set to $score_{max} - 1$. In the current example this value is set to TARGET.length() - 1 = 27.

```
[UBNHLJUS RCOXR LFIYLAWRDCCNY] -->
                 RCOXR LFIYLAWWDCCNY]
       [UBNHLJUS
       [UBQHLJUS RCOXR LFIYLAWWECCNY]
  5:
       [UBQHLJUS RCOXR LFICLAWWECCNL] --> 9
       [W QHLJUS RCOXR LFICLA WEGCNL] --> 10
   6:
       [W QHLJKS RCOXR LFIHLA
                               WEGCNL] -->
  7:
       [W QHLJKS RCOXR LFIHLA WEGSNL] -->
  8:
       [W QHLJKS
                 RCOXR LFIS A
                               WEGSNL]
   10:
       [M QHLJKS
                 RCOXR LFIS A
                               WEGSNL]
                                       --> 15
   11:
       [MEQHLJKS
                 RCOXR LFIS
                               WEGSNL]
   12:
       [MEQHIJKS
                  ICOXR LFIN
                               WEGSNL]
                                       -->
                  ICOXR LFIN
                               WEGSNLl
12
   14:
       IMEQHINKS
                             Α
       [METHINKS
                  ICOXR
                               WEGSNL]
13
   16:
                       LFIN
                       LFKN
   18:
       IMETHINKS
                  IMOXR
                               WEGSNLl
14
   19:
       [METHINKS
                  IMOXR
                       LIKN
                               WEGSNL]
15
   20:
       [METHINKS
                  IMOIR
                       LIKN
                               WEGSNL]
                                        -->
   23:
       IMETHINKS
                  TMOTE LIKN
                             Α
                               WEGSEL ]
                               WEGSEL] -->
18
   26:
       [METHINKS
                 IMOIS LIKN
                             Α
                                            24
   27:
       [METHINKS
                 IM IS LIKN
                               WEHSEL]
                                            25
19
   32:
       [METHINKS
                 IT IS LIKN
                               WEHSEL]
20
                 IT IS LIKN
                                       --> 28
  46: [METHINKS IT IS LIKE A
                               WEASEL]
```

The (shortened) output of the Weasel program (listing 27 on the preceding page) shows, that the optimal solution is reached in generation 46.

Appendix

9 Examples

This section contains some coding examples which should give you a feeling of how to use the **Jenetics** library. The given examples are complete, in the sense that they will compile and run and produce the given example output.

Running the examples delivered with the **Jenetics** library can be started with the run-examples.sh script.

\$./run-examples.sh

Since the script uses JARs located in the build directory you have to build it with the jar *Gradle* target first; see section 10 on page 72.

9.1 Ones counting

Ones counting is one of the simplest model-problem. It uses a binary chromosome and forms a classic genetic algorithm⁴¹. The fitness of a Genotype is proportional to the number of ones.

```
import static org.jenetics.engine.EvolutionResult.toBestPhenotype;
  {\bf import\ static\ org.jenetics.engine.limit.by Steady Fitness;}
  import org.jenetics.BitChromosome;
  import org.jenetics.BitGene;
  import org.jenetics.Genotype;
  import org.jenetics.Mutator;
  import org.jenetics.Phenotype;
   import org.jenetics.RouletteWheelSelector;
  import org.jenetics.SinglePointCrossover;
  import org.jenetics.engine.Engine;
  import org.jenetics.engine.EvolutionStatistics;
12
  public class OnesCounting {
14
15
       // This method calculates the fitness for a given genotype.
16
       private static Integer count(final Genotype<BitGene> gt) {
17
           return ((BitChromosome) gt.getChromosome()).bitCount();
18
19
20
       public static void main(String[] args) {
21
              Configure and build the evolution engine.
22
           final Engine < BitGene, Integer > engine = Engine
23
24
                .builder (
                    OnesCounting::count,
25
                    BitChromosome. of (20, 0.15))
26
                . population Size (500)
                . selector (new Roulette Wheel Selector <>())
28
29
                .alterers (
                    new Mutator <>(0.55),
30
                   new SinglePointCrossover <>(0.06))
31
                . build();
32
33
           // Create evolution statistics consumer.
34
```

 $^{^{41}}$ In the classic genetic algorithm the problem is a maximization problem and the fitness function is positive. The domain of the fitness function is a bit-chromosome.

```
final EvolutionStatistics < Integer, ?>
35
                statistics = EvolutionStatistics.ofNumber();
36
37
           final Phenotype < BitGene, Integer > best = engine.stream()
38
                  Truncate the evolution stream after 7 "steady"
39
                // generations
40
41
                .limit(bySteadyFitness(7))
                // The evolution will stop after maximal 100
42
43
                // generations.
                .limit(100)
44
                // Update the evaluation statistics after
45
46
                // each generation
                .peek(statistics)
47
                // Collect (reduce) the evolution stream to
48
49
                // its best phenotype.
                . collect (toBestPhenotype());
50
51
           System.out.println(statistics);
52
           System.out.println(best);
53
54
55
```

The genotype in this example consists of one BitChromosome with a ones probability of 0.15. The altering of the offspring population is performed by mutation, with mutation probability of 0.55, and then by a single-point crossover, with crossover probability of 0.06. After creating the initial population, with the ga.setup() call, 100 generations are evolved. The tournament selector is used for both, the offspring- and the survivor selection—this is the default selector.⁴²

```
2
     Time statistics
3
                 Selection: sum=0.016580144000 s; mean=0.001381678667 s
4
                  Altering: sum = 0.096904159000 s; mean = 0.008075346583 s
5
       Fitness calculation: sum=0.022894318000 s; mean=0.001907859833
6
         Overall execution: sum=0.136575323000 s; mean=0.011381276917 s
     Evolution statistics
10
               Generations: 12
11
                   Altered: sum=40,487; mean=3373.916666667
12
                    Killed: sum=0; mean=0.000000000
13
14
                  Invalids: sum=0; mean=0.000000000
15
   | Population statistics
16
17
18
                       Age: max=9; mean=0.808667; var=1.446299
19
20
                          min = 1.00000000000
21
                          max
                                = 18.00000000000
                           mean = 10.0508333333333
22
                                = 7.839555898205
23
                           var
                               = 2.799920694985
24
                           std
   [00001101|11110111|11111111] --> 18
```

The given example will print the overall timing statistics onto the console. In the *Evolution statistics* section you can see that it actually takes 15 generations to fulfill the termination criteria—finding no better result after 7 consecutive generations.

 $^{^{42}} For the other default values (population size, maximal age, ...) have a look at the Javadoc: <math display="block">\label{eq:http:/jenetics.io/javadoc/org.jenetics/3.5/index.html}$

9.2 Real function 9 EXAMPLES

9.2 Real function

In this example we try to find the minimum value of the function

$$f(x) = \cos\left(\frac{1}{2} + \sin(x)\right) \cdot \cos(x). \tag{9.1}$$

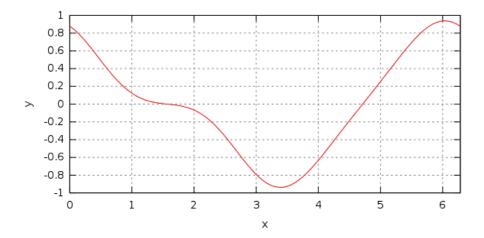


Figure 9.1: Real function

The graph of function 9.1, in the range of $[0, 2\pi]$, is shown in figure 9.1 and the listing beneath shows the GA implementation which will minimize the function.

```
1 | import static java.lang.Math.PI;
  import static java.lang.Math.cos;
  import static java.lang.Math.sin;
  import\ static\ org.jenetics.engine. Evolution Result.to Best Phenotype;
  import static org.jenetics.engine.limit.bySteadyFitness;
  import org.jenetics.DoubleGene;
  import org.jenetics.MeanAlterer;
  import org.jenetics.Mutator;
  import org.jenetics.Optimize;
  import org.jenetics.Phenotype;
  import org.jenetics.engine.Engine;
  import org.jenetics.engine.EvolutionStatistics;
  import org.jenetics.engine.codecs;
  import org.jenetics.util.DoubleRange;
  public class RealFunction {
17
18
      // The fitness function.
19
      private static double fitness(final double x) {
20
21
          return \cos(0.5 + \sin(x)) * \cos(x);
22
23
      public static void main(final String[] args) {
          25
26
              // function and chromosome.
27
              .builder (
28
```

9.2 Real function 9 EXAMPLES

```
29
                    RealFunction::fitness,
                    codecs.ofScalar(DoubleRange.of(0.0, 2.0*PI)))
30
                . population Size (500)
31
                .\ optimize \ (\ Optimize \ . MINIMUM)
32
                .alterers (
33
                    new Mutator <>(0.03),
34
35
                    new MeanAlterer <> (0.6))
                  Build an evolution engine with the
36
                // defined parameters.
37
                .build();
38
39
            // Create evolution statistics consumer.
40
            final EvolutionStatistics < Double, ?>
41
                statistics = EvolutionStatistics.ofNumber():
42
           final Phenotype<DoubleGene, Double> best = engine.stream()
44
                  Truncate the evolution stream after 7 "steady
45
                // generations
46
                .limit(bySteadyFitness(7))
47
                // The evolution will stop after maximal 100
48
                // generations.
49
                .limit(100)
50
                // Update the evaluation statistics after
51
                // each generation
52
                .peek(statistics)
53
54
                  Collect (reduce) the evolution stream to
                // its best phenotype.
55
56
                . collect(toBestPhenotype());
57
           System.out.println(statistics);
58
           System.out.println(best);
59
       }
60
61 }
```

The GA works with 1×1 DoubleChromosomes whose values are restricted to the range $[0, 2\pi]$.

```
Time statistics
3
                 Selection: sum = 0.064406456000 s; mean = 0.003066974095 s
                  Altering: sum=0.070158382000 s; mean=0.003340875333 s
5
       Fitness calculation: sum=0.050452647000 s; mean=0.002402507000 s
6
         Overall execution: sum=0.169835154000 s; mean=0.008087388286
     Evolution statistics
10
               Generations: 21
11
                   Altered: sum=3,897; mean=185.571428571
12
                    Killed: sum=0; mean=0.000000000
13
                  Invalids: sum=0; mean=0.000000000
15
16
   | Population statistics
17
                       Age: max=9; mean=1.104381; var=1.962625
18
                   Fitness:
19
                          min
                               = -0.938171897696
20
21
                          max
                               = 0.936310125279
22
                          mean = -0.897856583665
                          var = 0.027246274838
23
                          std = 0.165064456617
24
   [[[3.389125782657314]]] --> -0.9381718976956661
```

The GA will generated an console output like above. The *exact* result of the function–for the given range–will be 3.389, 125, 782, 8907, 939... You can also see, that we reached the final result after 19 generations.

9.3 Rastrigin function

The Rastrigin function 43 is often used to test the optimization performance of genetic algorithm.

$$f(\mathbf{x}) = An + \sum_{i=1}^{n} (x_i^2 - A\cos(2\pi x_i)).$$
 (9.2)

As the plot in figure 9.2 shows, the Rastrigin function has many local minima, which makes it difficult for standard, gradient-based methods to find the global minimum. If A = 10 and $x_i \in [-5.12, 5.12]$, the function has only one global minimum at $\mathbf{x} = \mathbf{0}$ with $f(\mathbf{x}) = 0$.

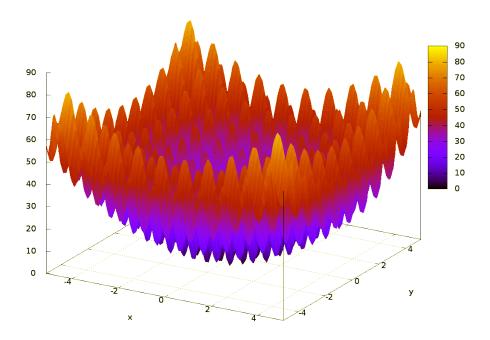


Figure 9.2: Rastrigin function

The following listing shows the Engine setup for solving the Rastrigin function, which is very similar to the setup for the real-function in section 9.2 on page 62. Beside the different fitness function, the Codec for double vectors is used, instead of the double scalar Codec.

```
import static java.lang.Math.PI;
import static java.lang.Math.cos;
import static org.jenetics.engine.EvolutionResult.toBestPhenotype;
import static org.jenetics.engine.limit.bySteadyFitness;

import org.jenetics.DoubleGene;
import org.jenetics.MeanAlterer;
import org.jenetics.Mutator;
import org.jenetics.Optimize;
import org.jenetics.Phenotype;
import org.jenetics.engine.Engine;
```

⁴³https://en.wikipedia.org/wiki/Rastrigin_function

```
12 import org.jenetics.engine. Evolution Statistics;
   import org.jenetics.engine.codecs;
   import org.jenetics.util.DoubleRange;
14
   public class RastriginFunction {
16
       private static final double A = 10;
17
       private static final double R = 5.12;
18
       private static final int N = 2;
19
20
       private static double fitness(final double[] x) {
21
            double value = A*N;
22
            for (int i = 0; i < N; ++i) {
23
                 value += x[i]*x[i] - A*cos(2.0*PI*x[i]);
24
25
            return value;
27
28
29
       public static void main(final String[] args) {
30
            final Engine < Double Gene, Double > engine = Engine
31
                .builder (
32
                     RastriginFunction::fitness,
33
                      // Codec for 'x' vector.
                     codecs.ofVector(DoubleRange.of(-R, R), N))
35
                 .populationSize(500)
36
37
                 . optimize (Optimize .MINIMUM)
                 .alterers (
38
39
                     new Mutator <>(0.03),
                     new MeanAlterer <>(0.6))
40
                 .build();
41
42
            final EvolutionStatistics<Double, ?>
43
                 statistics = EvolutionStatistics.ofNumber();
44
            \label{eq:continuous_post} \textbf{final Phenotype} < \textbf{DoubleGene}\,, \ \ \textbf{Double} > \ \textbf{best} \ = \ \textbf{engine.stream}\,(\,)
46
                 .limit(bySteadyFitness(7))
47
                 .peek(statistics)
48
                 . collect(toBestPhenotype());
49
50
            System.out.println(statistics);
51
            System.out.println(best);
52
       }
53
54 }
```

The console output of the program shows, that Jenetics finds the optimal solution after 38 generations.

```
-----+
     Time statistics
3
                 Selection: sum = 0.209185134000 s; mean = 0.005504871947 s
4
      Altering: sum=0.295102044000 s; mean=0.007765843263 s
Fitness calculation: sum=0.176879937000 s; mean=0.004654735184 s
5
6
         Overall execution: sum=0.664517256000 s; mean=0.017487296211 s
     Evolution statistics
10
              Generations: 38
11
                  Altered: sum=7,549; mean=198.657894737
12
                    Killed: sum=0; mean=0.00000000
13
                 Invalids: sum = 0; mean = 0.000000000
  | Population statistics
17
                      Age: max=8; mean=1.100211; var=1.814053
18
19
                  Fitness:
```

$9.4 \quad 0/1 \text{ Knapsack}$

In the knapsack problem⁴⁴ a set of items, together with it's size and value, is given. The task is to select a disjoint subset so that the total size does not exceed the knapsack size. For solving the 0/1 knapsack problem we define a BitChromosome, one bit for each item. If the i^{th} bit is set to one the i^{th} item is selected.

```
| import static org.jenetics.engine.EvolutionResult.toBestPhenotype;
  {\bf import\ static\ org.jenetics.engine.limit.by Steady Fitness;}
  import java.util.Random;
  import java.util.function.Function;
   import java.util.stream.Collector;
  import java.util.stream.Stream;
  import org.jenetics.BitGene;
  import org.jenetics.Mutator;
  import org.jenetics.Phenotype;
  import org.jenetics.RouletteWheelSelector;
  {\bf import} \ {\tt org.jenetics.SinglePointCrossover};\\
  import org.jenetics.TournamentSelector;
  import org.jenetics.engine.Engine;
15
  {\bf import} \ {\tt org.jenetics.engine.EvolutionStatistics};
  import org.jenetics.engine.codecs;
  import org.jenetics.util.ISeq;
  {\bf import} \ {\tt org.jenetics.util.RandomRegistry};\\
   // The main class.
22
   public class Knapsack {
23
       // This class represents a knapsack item, with a specific // "size" and "value".
24
25
       final static class Item {
26
           public final double size;
27
           public final double value;
28
29
            Item(final double size, final double value) {
30
                this.size = size;
31
                this.value = value;
32
33
34
            // Create a new random knapsack item.
35
            static Item random() {
36
                final Random r = RandomRegistry.getRandom();
37
                return new Item (
38
                    r.nextDouble()*100,
39
                     r.nextDouble()*100
40
                );
41
           }
42
43
            // Collector for summing up the knapsack items.
44
```

 $^{^{44} {}m https://en.wikipedia.org/wiki/Knapsack_problem}$

```
static Collector < Item , ? , Item > toSum() {
45
                  return Collector.of(
46
                       () \rightarrow new double [2],
47
                      (a, b) \rightarrow \{a[0] \neq b. size; a[1] \neq b. value; \}, (a, b) \rightarrow \{a[0] \neq b[0]; a[1] \neq b[1]; return a; \},
48
49
                      r \rightarrow new Item(r[0], r[1])
50
51
                  );
             }
52
        }
53
54
        // Creating the fitness function.
55
56
        static Function<ISeq<Item>, Double>
57
        fitness (final double size) {
             return items -> {
58
59
                  final Item sum = items.stream().collect(Item.toSum());
                  return sum.size <= size ? sum.value : 0;
60
             };
61
        }
62
63
        public static void main(final String[] args) {
64
             final int nitems = 15;
65
             final double kssize = nitems *100.0/3.0;
66
67
             final ISeq<Item> items =
68
                  Stream.generate(Item::random)
69
70
                      .limit(nitems)
                       .collect(ISeq.toISeq());
71
72
             // Configure and build the evolution engine.
73
             final Engine < BitGene, Double > engine = Engine
74
                  .builder(fitness(kssize), codecs.ofSubSet(items))
                  . population Size (500)
76
                  .survivorsSelector(new TournamentSelector<>(5))
77
                  . offspringSelector (new RouletteWheelSelector <>())
78
                  .alterers (
79
                      new Mutator <>(0.115),
80
                      new SinglePointCrossover <>(0.16))
81
                  . build();
82
83
             // Create evolution statistics consumer.
84
             final EvolutionStatistics < Double, ?>
85
                  statistics = EvolutionStatistics.ofNumber();
86
87
             final Phenotype<BitGene, Double> best = engine.stream()
88
89
                  // Truncate the evolution stream after 7 "steady
                  // generations.
90
                  .limit(bySteadyFitness(7))
91
                  // The evolution will stop after maximal 100 // generations.
92
93
                  .limit(100)
                  // Update the evaluation statistics after // each generation
95
96
                  .peek(statistics)
97
                  // Collect (reduce) the evolution stream to // its best phenotype.
98
99
                  . collect (toBestPhenotype());
100
101
             System.out.println(statistics);
102
             System.out.println(best);
103
104
        }
105 }
```

The console out put for the Knapsack GA will look like the listing beneath.

```
2
     Time statistics
3
                 Selection: sum=0.044465978000 s; mean=0.005558247250 s
                  Altering: sum = 0.067385211000 s; mean = 0.008423151375 s
6
       Fitness calculation: sum=0.037208189000 s; mean=0.004651023625 s
         Overall execution: sum=0.126468539000 s; mean=0.015808567375 s
     Evolution statistics
9
10
               Generations: 8
11
                   Altered: sum=4,842; mean=605.250000000
12
13
                    Killed: sum=0; mean=0.00000000
14
                  Invalids: sum=0; mean=0.00000000
15
     Population statistics
16
17
18
                       Age: max=7; mean=1.387500; var=2.780039
19
                          min = 0.000000000000
20
                          max = 542.363235999342
21
                          mean = 436.098248628661
22
                               = 11431.801291812390
23
                          var
                               = 106.919601999878
   [01111011|10111101] --> 542.3632359993417
```

9.5 Traveling salesman

The Traveling Salesman problem⁴⁵ is one of the classical problems in computational mathematics and it is the most notorious NP-complete problem. The goal is to find the shortest distance, or the path, with the least costs, between N different cities. Testing all possible path for N cities would lead to N! checks to find the shortest one.

The following example uses a path where the cities are lying on a circle. That means, the optimal path will be a polygon. This makes it easier to check the quality of the found solution.

```
import static java.lang.Math.PI;
  import static java.lang.Math.abs;
  import static java.lang.Math.sin;
  import\ static\ org.jenetics.engine. Evolution Result.to Best Phenotype;
  import static org.jenetics.engine.limit.bySteadyFitness;
  import java.util.stream.IntStream;
  import org.jenetics.EnumGene;
  import org.jenetics.Genotype;
  import org.jenetics.Optimize;
11
  {\bf import} \ \ {\rm org.jenetics.PartiallyMatchedCrossover};
12
  import org.jenetics.PermutationChromosome;
  import org.jenetics.Phenotype;
14
  import org.jenetics.SwapMutator;
15
  import org.jenetics.engine.Engine;
  import org.jenetics.engine.EvolutionStatistics;
17
  public class TravelingSalesman {
19
20
         Problem initialization:
21
       // Calculating the adjacence matrix of the "city" distances.
22
       private static final int STOPS = 20;
23
       private static final double[][] ADJACENCE = matrix(STOPS);
24
```

 $^{^{45}}$ https://en.wikipedia.org/wiki/Travelling_salesman_problem

```
25
       private static double[][] matrix(int stops) {
26
            final double radius = 10.0;
27
            \mathbf{double}\,[\,]\,[\,]\,\ \mathbf{matrix}\,=\,\mathbf{new}\,\,\mathbf{double}\,[\,\mathbf{stops}\,]\,[\,\mathbf{stops}\,]\,;
28
29
            for (int i = 0; i < stops; ++i) {
30
31
                 for (int j = 0; j < stops; ++j) {
                     matrix[i][j] = chord(stops, abs(i - j), radius);
32
33
34
            return matrix;
35
36
       }
37
       private static double chord(int stops, int i, double r) {
38
39
            return 2.0*r*abs(sin((PI*i)/stops));
40
41
       // Calculate the path length of the current genotype.
42
       private static
43
       Double dist(final Genotype<EnumGene<Integer>>> gt) {
44
               Convert the genotype to the traveling path.
45
            final int[] path = gt.getChromosome().toSeq().stream()
46
                 .mapToInt(EnumGene < Integer > :: getAllele)
47
                 .toArray();
48
49
50
            // Calculate the path distance.
            return IntStream.range(0, STOPS)
51
52
                 .mapToDouble(i ->
                     ADJACENCE[path[i]][path[(i + 1)%STOPS]])
53
                 . sum();
54
       }
55
56
       public static void main(String[] args) {
57
            final Engine < EnumGene < Integer >, Double > engine = Engine
58
                 .builder (
59
                      TravelingSalesman::dist,
60
                     PermutationChromosome.ofInteger(STOPS))
61
                 . optimize (Optimize . MINIMUM)
62
63
                 . maximalPhenotypeAge(11)
                 . population Size (500)
64
65
                 .alterers (
                     new SwapMutator < > (0.2),
66
                     new Partially Matched Crossover <>(0.35))
67
                 .build();
68
69
            // Create evolution statistics consumer.
70
            final EvolutionStatistics < Double, ?>
71
                 statistics = EvolutionStatistics.ofNumber();
72
73
            final Phenotype<EnumGene<Integer>, Double> best =
                 engine.stream()
75
                    Truncate the evolution stream after 15 "steady"
76
                 // generations.
77
                 . limit (bySteadyFitness (15))
78
                 // The evolution will stop after maximal 250
79
                 // generations.
80
                 . limit (250)
81
                 // Update the evaluation statistics after
82
                 // each generation
83
84
                 .peek(statistics)
                 // Collect (reduce) the evolution stream to // its best phenotype.
85
86
```

The Traveling Salesman problem is a very good example which shows you how to solve combinatorial problems with an GA. **Jenetics** contains several classes which will work very well with this kind of problems. Wrapping the base type into an EnumGene is the first thing to do. In our example, every city has an unique number, that means we are wrapping an Integer into an EnumGene. Creating a genotype for integer values is very easy with the factory method of the PermutationChromosome. For other data types you have to use one of the constructors of the permutation chromosome. As alterers, we are using a swap-mutator and a partially-matched crossover. These alterers guarantees that no invalid solutions are created—every city exists exactly once in the altered chromosomes.

```
2
      Time statistics
3
                 Selection: sum=0.134312100000 s: mean=0.001618218072 s
4
                  Altering: sum=0.272923323000 s; mean=0.003288232807 s
5
       Fitness calculation: sum=0.171154575000 s; mean=0.002062103313
6
         Overall execution: sum=0.571970865000 s; mean=0.006891215241
9
      Evolution statistics
10
               Generations: 83
11
12
                    Altered: sum=117,315; mean=1413.433734940
                     Killed: sum=55; mean=0.662650602
13
                   Invalids: sum = 0; mean = 0.000000000
14
16
     Population statistics
17
                        Age: max=11; mean=1.608048; var=4.913384
18
19
                    Fitness:
20
                                = 95.823941038289
21
                                = 352.556531948213
                           max
22
                           mean = 162.422468571595
                                = 3846.044938421069
23
                           var
                                = 62.016489246176
                           std
24
25
   [12|11|10|1|2|3|4|5|6|7|8|9|0|19|18|17|16|15|14|13] --> 95.82394103828862
```

The listing above shows the output generated by our example. The last line represents the phenotype of the best solution found by the GA, which represents the traveling path. As you can see, the GA has found the shortest path, in reverse order.

9.6 Evolving images

The following example tries to approximate a given image by semitransparent polygons.⁴⁶ It comes with an Swing UI, where you can immediately start your own experiments. After compiling the sources with

\$./gradlew jar

you can start the example by calling

⁴⁶Original idea by Roger Johansson http://rogeralsing.com/2008/12/07/genetic-programming-evolution-of-mona-lisa.



\$./jrun org.jenetics.example.image.EvolvingImages

Figure 9.3: Evolving images UI

Image 9.3 show the GUI after evolving the default image for about 4,000 generations. With the »Open« button it is possible to load other images for polygonization. The »Save« button allows to store polygonized images in PNG format to disk. At the button of the UI, you can change some of the GA parameters of the example:

Population size The number of individual of the population.

Tournament size The example uses a TournamentSelector for selecting the offspring population. This parameter lets you set the number of individual used for the tournament step.

Mutation rate The probability that a polygon *component* (color or vertex position) is altered.

Mutation magnitude In case a polygon *component* is going to be mutated, its value will be randomly modified in the uniform range of [-m, +m].

Polygon length The number of edges (or vertices) of the created polygons.

Polygon count The number of polygons of one individual (Genotype).

Reference image size To improve the processing speed, the fitness of a given polygon set (individual) is not calculated with the full sized image. Instead

an scaled reference image with the given size is used. A smaller reference image will speed up the calculation, but will also reduce the accuracy.

It is also possible to run and configure the *Evolving Images* example from the command line. This allows to do long running evolution *experiments* and save polygon images every n generations—specified with the --image-generation parameter.

Every command line argument has proper default values, so that it is possible to start it without parameters. Listing 28 shows the default values for the GA engine if the --engine-properties parameter is not specified.

```
population_size=50
tournament_size=3
mutation_rate=0.025
mutation_multitude=0.15
polygon_length=4
polygon_count=250
reference_image_width=60
reference_image_height=60
```

Listing 28: Default engine.properties

For a quick start, you can simply call

\$./jrun org.jenetics.example.image.EvolvingImages evolve

The images in figure 9.4 on the following page shows the resulting polygon images after the given number of generations. They where created with the command line version of the program using the default engine.properties file (listing 28):

```
$ ./jrun org.jenetics.example.image.EvolvingImages evolve \
    --generations 10000000 \
    --image-generation 100
```

10 Build

For building the **Jenetics** library from source, download the most recent, stable package version from https://sourceforge.net/projects/jenetics/files/latest/download or https://github.com/jenetics/jenetics/releases and extract it to some build directory.

```
$ unzip jenetics-<version>.zip -d <builddir>
```

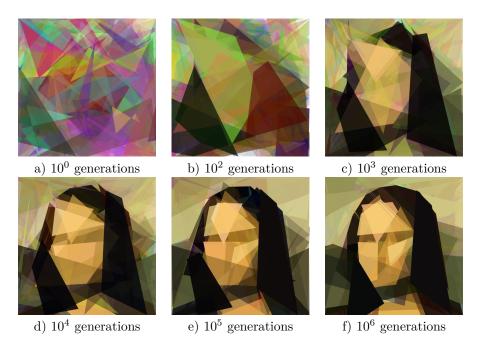


Figure 9.4: Evolving Mona Lisa images

<version> denotes the actual Jenetics version and <builddir> the actual
build directory. Alternatively you can check out the latest version from the
Git master branch.

Jenetics uses Gradle⁴⁷ as build system and organizes the source into *sub*-projects (*modules*).⁴⁸ Each *sub*-project is located in it's own *sub*-directory:

- org.jenetics: This project contains the source code and tests for the **Jenetics** *core*-module.
- org.jenetics.example: This project contains example code for the *ore*-module.
- org.jenetics.doc: Contains the *code* of the web-site and *this* manual.

For building the library change into the <builddir> directory (or one of the *module* directory) and call one of the available *tasks*:

- compileJava: Compiles the **Jenetics** sources and copies the class files to the <builddir>/<module-dir>/build/classes/main directory.
- jar: Compiles the sources and creates the JAR files. The artifacts are copied to the <builddir>/<module-dir>/build/libs directory.

⁴⁷http://gradle.org/downloads

⁴⁸If you are calling the <code>gradlew</code> script (instead of <code>gradle</code>), which are part of the downloaded package, the proper Gradle version is automatically downloaded and you don't have to install Gradle explicitly.

- test: Compiles and executes the unit tests. The test results are printed onto the console and a test-report, created by *TestNG*, is written to

 dir

 /module-dir

 directory.
- javadoc: Generates the API documentation. The Javadoc is stored in the <builddir>/<module-dir>/build/docs directory
- clean: Deletes the <builddir>/build/* directories and removes all generated artifacts.

For building the library from the source, call

```
$ cd <build-dir>
```

\$ gradle jar

or

\$./gradlew jar

if you don't have the Gradle build system installed—calling the the Gradle wrapper script will download all needed files and trigger the build task afterwards.

IDE integration Gradle has tasks which creates the project file for Eclipse⁴⁹ and IntelliJ IDEA⁵⁰. Call

\$./gradlew <eclipse|idea>

for creating the project files for Eclipse or IntelliJ, respectively.

External library dependencies The following external projects are used for running and/or building the **Jenetics** library.

• TestNG

- Version: 6.9.11

- Homepage: http://testng.org/doc/index.html

- License: Apache License, Version 2.0

- Scope: test

• Apache Commons Math

- **Version**: 3.6.1

- Homepage: http://commons.apache.org/proper/commons-math/

— Download: http://tweedo.com/mirror/apache/commons/math/ binaries/commons-math3-3.6.1-bin.zip

- License: Apache License, Version 2.0

– Scope: test

⁴⁹http://www.eclipse.org/

⁵⁰http://www.jetbrains.com/idea/

• Java2Html

```
- Version: 5.0
```

- Homepage: http://www.java2html.de/

- Download: http://www.java2html.de/java2html_50.zip

- License: GPL or CPL1.0

- Scope: javadoc

• Gradle

- **Version**: 2.11

- Homepage: http://gradle.org/

 $-\ \mathbf{Download:}\ http://services.\ gradle.\ org/distributions/gradle-2.$

11-bin. zip

- License: Apache License, Version 2.0

- Scope: build.

Maven Central The whole **Jenetics** package can also be downloaded from the *Maven Central* repository http://repo.maven.apache.org/maven2:

pom.xml snippet for Maven

Gradle

'org.bitbucket.fwilhelm:org.jenetics:3.5.0'

11 License

The library itself is licensed under the Apache License, Version 2.0.

```
Copyright 2007-2016 Franz Wilhelmstötter
```

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